

**LONGITUDINAL BEAM DYNAMICS**

Elias Métral (CERN BE Department)

This course started with the one of Frank Tecker (CERN-BE) in 2010 (I took over from him in 2011), who inherited it from Roberto Corsini (CERN-BE), who gave this course in the previous years, based on the transparencies written by Louis Rinolfi (CERN-BE) who held the course at JUAS from 1994 to 2002 (see CERN/PS 2000-008 (LP)):  
<http://cdsweb.cern.ch/record/446961/files/ps-2000-008.pdf>

Material from Joel LeDuff's Course at the CERN Accelerator School held at Jyvaskyla, Finland the 7-18 September 1992 (CERN 94-01) has been used as well:  
<http://cdsweb.cern.ch/record/235242/files/p253.pdf>  
<http://cdsweb.cern.ch/record/235242/files/p289.pdf>

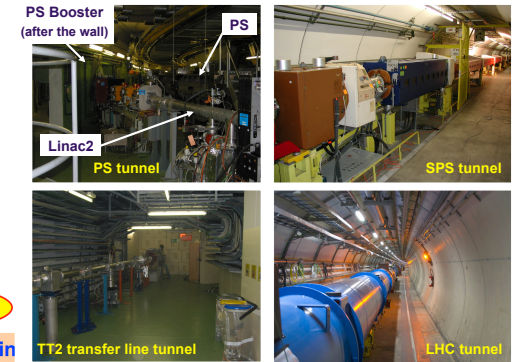
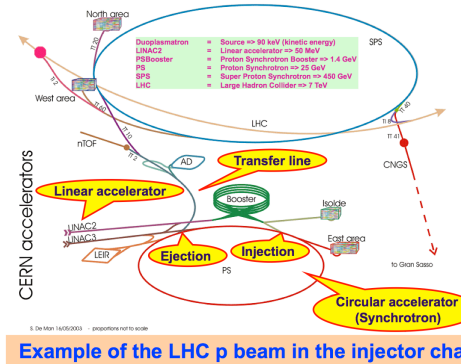
I attended the course given by Louis Rinolfi in 1996 and was his assistant in 2000 and 2001 (and the assistant of Michel Martini for his course on transverse beam dynamics)

This course and related exercises / exams (as well as other courses) can be found in my web page: <http://emetral.web.cern.ch/emetral/>

Assistant this year: Benoit Salvant (CERN BE Department)

**PURPOSE OF THIS COURSE**

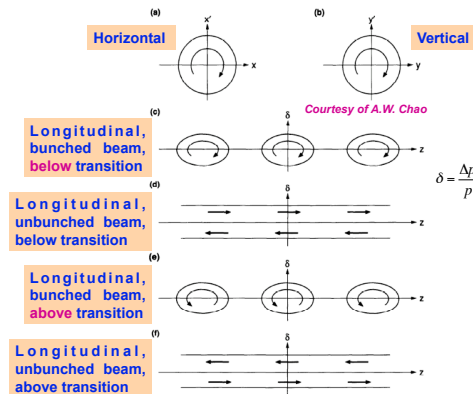
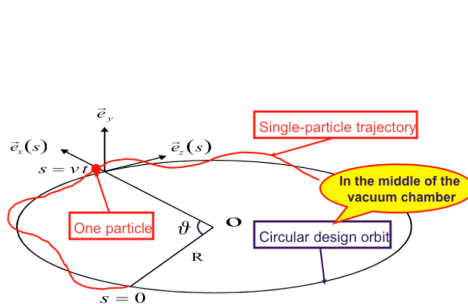
Discuss the oscillations of the particles in the longitudinal plane of synchrotrons, called **SYNCHROTRON OSCILLATIONS** (similarly to the betatron oscillations in the transverse planes), and derive the basic equations



**PURPOSE OF THIS COURSE**

IN REAL SPACE

IN PHASE SPACE



**WEEK 2**

	Monday Jan 18 <sup>th</sup>	Tuesday Jan 19 <sup>th</sup>	Wednesday Jan 20 <sup>th</sup>	Thursday Jan 21 <sup>st</sup>	Friday Jan 22 <sup>nd</sup>	Saturday Jan 23 <sup>rd</sup>		
09:00		Longitudinal Dynamics lecture E. Métral	Linacs lecture J-B. Lallement	Longitudinal Dynamics lecture E. Métral	Cyclotrons lecture F. Chautard		09:00	
10:00	Bus leaves at 07:30 from JUAS	Coffee Break	Coffee Break	Coffee Break	Coffee Break		10:00	
10:15		Longitudinal Dynamics tutorial E. Métral	Longitudinal Dynamics lecture E. Métral	Longitudinal Dynamics lecture E. Métral	Cyclotrons lecture F. Chautard		10:15	
11:15	VISIT	Longitudinal Dynamics lecture E. Métral	Longitudinal Dynamics tutorial E. Métral	Longitudinal Dynamics lecture E. Métral	Cyclotrons tutorial F. Chautard	BEAM MEASUREMENT	11:15	
12:15	Tokamak AT	Longitudinal Dynamics lecture E. Métral	Longitudinal Dynamics tutorial E. Métral	Longitudinal Dynamics lecture E. Métral	Cyclotrons tutorial F. Chautard		AT	12:15
13:00	EPFL (Lunch at EPFL)	LUNCH	LUNCH	LUNCH	LUNCH		ESRF (GRENOBLE)	13:00
14:00		Linacs lecture J-B. Lallement	Longitudinal Dynamics lecture E. Métral	Cyclotrons lecture F. Chautard	Longitudinal Dynamics lecture E. Métral		14:00	
15:00	Bus leaves at 14:00 from EPFL	Linacs tutorial J-B. Lallement	Linacs tutorial J-B. Lallement / V. Dimov	Cyclotrons tutorial F. Chautard	Longitudinal Dynamics tutorial E. Métral		15:00	
16:00		Coffee Break	Coffee Break	Coffee Break	Coffee Break		16:00	
16:15	Injection / Extraction Thomas Perron	Linacs tutorial J-B. Lallement / V. Dimov	Linacs tutorial J-B. Lallement / V. Dimov	Cyclotrons lecture F. Chautard	Longitudinal Dynamics tutorial E. Métral		16:15	
17:15	Injection / Extraction Thomas Perron	LHC & Future High-Energy Circular Collider Seminar F. Bordy					17:15	

+ Examination on WE 10/02/2016 (09:00 to 10:30)

**LESSON I**  
*Fields & forces*  
*Acceleration by time-varying electric field*  
*Relativistic equations*

**LESSON II**  
*Particle acceleration => Synchrotrons*  
*Transit time factor*  
*Main RF parameters*  
*Momentum compaction*  
*Transition energy*

**LESSON III**  
*Equations related to synchrotrons*  
*Synchronous particle*  
*Synchrotron oscillations*  
*Principle of phase stability*

**LESSON IV**  
*RF acceleration for synchronous particle*  
*RF acceleration for non-synchronous particle*  
*Small amplitude oscillations*  
*Large amplitude oscillations - the RF bucket*  
*Synchrotron frequency and tune*  
*Tracking*  
*Nonadiabatic theory needed "close" to transition*  
*Double RF systems*

**LESSON V**  
*Measurement of the longitudinal bunch profile and Tomography*  
*RF manipulations*  
*The ESME simulation code*  
*(and/or the pyHEADTAIL simulation code by Benoit Salvant)*

Units of physical quantities

Quantity	unit	SI unit	SI derived unit
Capacitance	F (farad)	$m^{-2} kg^{-1} s^4 A^2$	C/V
Electric charge	C (coulomb)	As	
Electric potential	V (volt)	$m^2 kg s^{-3} A^{-1}$	W/A
Energy	J (joule)	$m^2 kg s^{-2}$	Nm
Force	N (newton)	$m kg s^{-2}$	N
Frequency	Hz (hertz)	$s^{-1}$	
Inductance	H (henry)	$m^2 kg s^{-2} A^{-2}$	Wb/A
Magnetic flux	Wb (weber)	$m^2 kg s^{-2} A^{-1}$	Vs
Magnetic flux density	T (tesla)	$kg s^{-2} A^{-1}$	Wb/m <sup>2</sup>
Power	W (watt)	$m^2 kg s^{-3}$	J/s
Pressure	Pa (pascal)	$m^{-1} kg s^{-2}$	N/m <sup>2</sup>
Resistance	$\Omega$ (ohm)	$m^2 kg s^{-3} A^{-2}$	V/A

Fundamental physical constants

Physical constant	symbol	value	unit
Avogadro's number	$N_A$	$6.0221367 \times 10^{23}$	/mol
atomic mass unit ( $\frac{1}{12}m(C^{12})$ )	$m_u$ or $u$	$1.6605402 \times 10^{-27}$	kg
Boltzmann's constant	$k$	$1.380658 \times 10^{-23}$	J/K
Bohr magneton	$\mu_B = e\hbar/2m_e$	$9.2740154 \times 10^{-24}$	J/T
Bohr radius	$a_0 = 4\pi\epsilon_0\hbar^2/m_e c^2$	$0.529177249 \times 10^{-10}$	m
classical radius of electron	$r_e = e^2/4\pi\epsilon_0 m_e c^2$	$2.81794092 \times 10^{-15}$	m
classical radius of proton	$r_p = e^2/4\pi\epsilon_0 m_p c^2$	$1.5346986 \times 10^{-18}$	m
elementary charge	$e$	$1.60217733 \times 10^{-19}$	C
fine structure constant	$\alpha = e^2/2\epsilon_0\hbar c$	1/137.0359895	
$m_u c^2$		931.49432	MeV
mass of electron	$m_e$	$9.1093897 \times 10^{-31}$	kg
$m_e c^2$		0.51099906	MeV
mass of proton	$m_p$	$1.6726231 \times 10^{-27}$	kg
$m_p c^2$		938.27231	MeV
mass of neutron	$m_n$	$1.6749286 \times 10^{-27}$	kg
$m_p c^2$		939.56563	MeV
molar gas constant	$R = N_A k$	8.314510	J/mol K
neutron magnetic moment	$\mu_n$	$-0.96623707 \times 10^{-26}$	J/T
nuclear magneton	$\mu_p = e\hbar/2m_u$	$5.0507866 \times 10^{-27}$	J/T
Planck's constant	$h$	$6.626075 \times 10^{-34}$	J s
permeability of vacuum	$\mu_0$	$4\pi \times 10^{-7}$	N/A <sup>2</sup>
permittivity of vacuum	$\epsilon_0$	$8.854187817 \times 10^{-12}$	F/m
proton magnetic moment	$\mu_p$	$1.41060761 \times 10^{-26}$	J/T
proton g factor	$g_p = \mu_p/\mu_N$	2.792847386	
speed of light (exact)	$c$	299792458	m/s
vacuum impedance	$Z_0 = 1/\epsilon_0 c = \mu_0 c$	376.7303	$\Omega$

**LESSON I**

*Fields & forces*

*Acceleration by time-varying electric field*

*Relativistic equations*

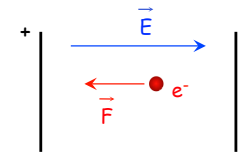
Fields and force

Equation of motion for a particle of charge  $q$

$$\vec{F} = \frac{d\vec{p}}{dt} = q(\vec{E} + \vec{v} \times \vec{B})$$

$\vec{p} = m\vec{v}$	Momentum
$\vec{v}$	Velocity
$\vec{E}$	Electric field
$\vec{B}$	Magnetic field

Constant electric field

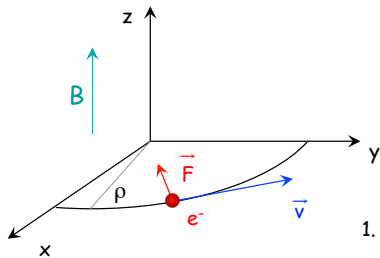


$$\frac{d\vec{p}}{dt} = -e\vec{E}$$

1. Direction of the force always parallel to the field
2. Trajectory can be modified, velocity also  $\Rightarrow$  momentum and energy can be modified

This force can be used to accelerate and decelerate particles

Constant magnetic field



$$\frac{d\vec{p}}{dt} = \vec{F} = -e(\vec{v} \times \vec{B})$$

1. Direction always perpendicular to the velocity
2. Trajectory can be modified, but not the velocity

$$e v B = \frac{m v^2}{\rho}$$

This force cannot modify the energy

magnetic rigidity:  $B \rho = \frac{p}{e}$

angular frequency:  $\omega = 2\pi f = \frac{e}{m} B$

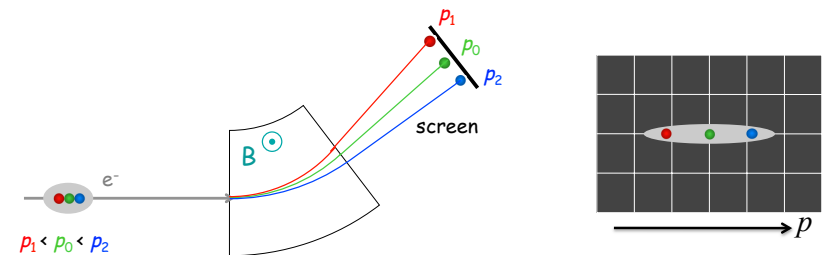
Important relationship:

$$B \rho = \frac{p}{e} \quad \Rightarrow \quad \rho = \frac{p}{e B}$$

Practical units:

$$B \rho [\text{Tm}] \approx \frac{p [\text{GeV}/c]}{0.3}$$

Application: spectrometer



Comparison of magnetic and electric forces

$$|\vec{B}| = 1 \text{ T}$$

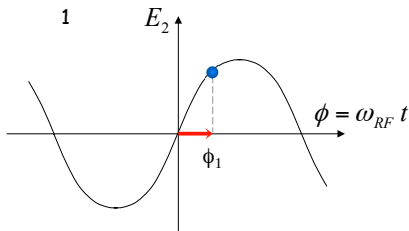
$$|\vec{E}| = 10 \text{ MV/m}$$

$$\frac{F_{MAGN}}{F_{ELEC}} = \frac{evB}{eE} = \beta c \frac{B}{E} \approx 3 \cdot 10^8 \frac{1}{10^7} \beta = 30 \beta$$

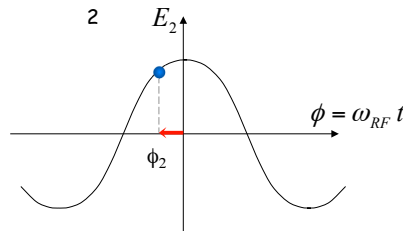
Convention

- For circular accelerators, the origin of time is taken at the **zero crossing** of the RF voltage with positive slope
- For linear accelerators, the origin of time is taken at the positive **crest** of the RF voltage

Time  $t=0$  chosen such that:

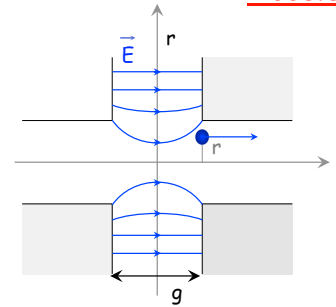


$$E_2(t) = E_0 \sin(\omega_{RF} t)$$



$$E_2(t) = E_0 \cos(\omega_{RF} t)$$

Acceleration by time-varying electric field



- Let  $V_{RF}$  be the amplitude of the RF voltage across the gap  $g$
- The particle crosses the gap at a distance  $r$
- The energy gain is:

$$\Delta E = e \int_{-g/2}^{g/2} \vec{E}(s, r, t) d\vec{s}$$

[MeV]                      [n]                      [MV/m]  
(1 for electrons or protons)

In the cavity gap, the electric field is supposed to be:

$$E(s, r, t) = E_1(s, r) \cdot E_2(t)$$

In general,  $E_2(t)$  is a sinusoidal time variation with angular frequency  $\omega_{RF}$

$$E_2(t) = E_0 \sin \Phi(t) \quad \text{where} \quad \Phi(t) = \int_{t_0}^t \omega_{RF} dt + \Phi_0$$

Relativistic Equations

$$E = mc^2$$

<p>normalized velocity</p> $\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}}$	<p>energy</p> $E = E_{kin} + E_0$ <p>total                      kinetic                      rest</p>
<p>total energy rest energy</p> $\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1 - \beta^2}}$	<p>momentum</p> $p = mv = \beta \frac{E}{c} = \beta \gamma m_0 c$

energy	momentum	mass
eV	eV/c	eV/c <sup>2</sup>

$$p^2 c^2 = E^2 - E_0^2 \quad \gamma = 1 + \frac{E_{kin}}{E_0}$$

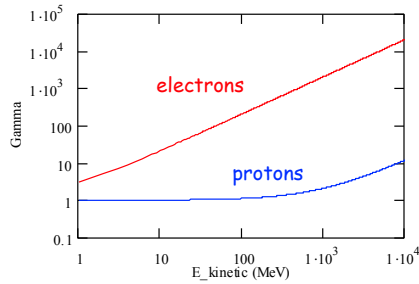
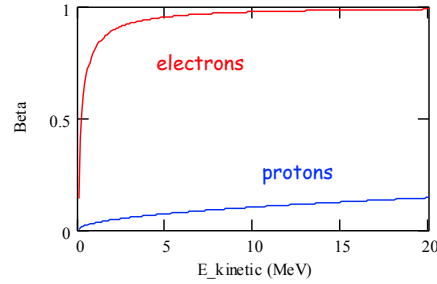
$$p [\text{GeV}/c] \approx 0.3 B[\text{T}] \rho[\text{m}]$$

normalized velocity

$$\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}}$$

total energy  
rest energy

$$\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1 - \beta^2}}$$



First derivatives

$$d\beta = \beta^{-1} \gamma^{-3} d\gamma$$

$$d(cp) = E_0 \gamma^3 d\beta$$

$$d\gamma = \beta(1 - \beta^2)^{-3/2} d\beta$$

Logarithmic derivatives

$$\frac{d\beta}{\beta} = (\beta \gamma)^{-2} \frac{d\gamma}{\gamma}$$

$$\frac{dp}{p} = \frac{\gamma^2}{\gamma^2 - 1} \frac{dE}{E} = \frac{\gamma}{\gamma + 1} \frac{dE_{kin}}{E_{kin}}$$

$$\frac{d\gamma}{\gamma} = (\gamma^2 - 1) \frac{d\beta}{\beta}$$

LESSON II

Particle acceleration => Synchrotrons

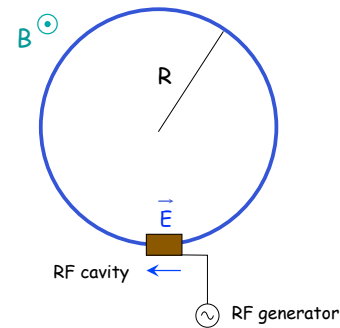
Transit time factor

Main RF parameters

Momentum compaction

Transition energy

Synchrotron



Synchronism condition

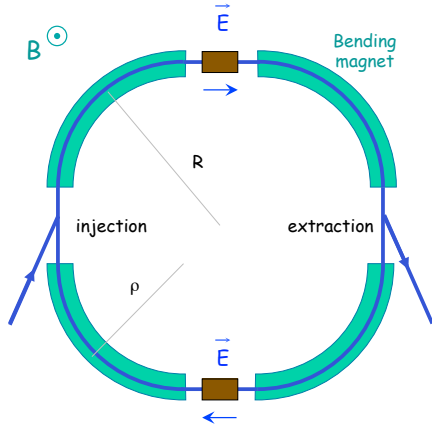
$$T_s = h T_{RF}$$

$$\frac{2\pi R}{v_s} = h T_{RF}$$

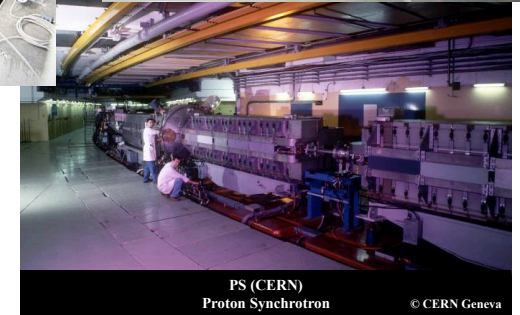
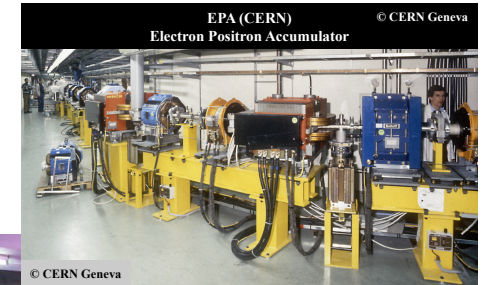
*h* integer,  
harmonic number

1.  $\omega_{RF}$  and  $\omega$  increase with energy
2. To keep particles on the closed orbit, *B* should increase with time

## Synchrotron



- In reality, the orbit in a synchrotron is not a circle, straight sections are added for RF cavities, injection and extraction, etc..
- Usually the beam is pre-accelerated in a linac (or a smaller synchrotron) before injection
- The bending radius  $\rho$  does not coincide to the machine radius  $R = L/2\pi$



Examples of different proton and electron synchrotrons at CERN

## Parameters for circular accelerators

The basic principles, for the common circular accelerators, are based on the two relations:

1. The Lorentz equation: the orbit radius can be expressed as:

$$R = \frac{\gamma v m_0}{eB}$$

2. The synchronicity condition: The revolution frequency can be expressed as:

$$f = \frac{eB}{2\pi \gamma m_0}$$

According to the parameter we want to keep constant or let vary, one has different acceleration principles. They are summarized in the table below:

Machine	Energy ( $\gamma$ )	Velocity	Field	Orbit	Frequency
Cyclotron	$\sim 1$	var.	const.	$\sim v$	const.
Synchrocyclotron	var.	var.	$B(r)$	$\sim \rho$	$B(r)/\gamma(t)$
Proton/Ion synchrotron	var.	var.	$\sim p$	$R$	$\sim v$
Electron synchrotron	var.	const.	$\sim p$	$R$	const.

## Transit time factor

RF acceleration in a gap  $g$

$$E(s, r, t) = E_1(s, r) \cdot E_2(t)$$

Simplified model



$$E_1(s, r) = \frac{V_{RF}}{g} = \text{const.}$$

$$E_2(t) = \sin(\omega_{RF} t + \phi_0)$$

At  $t = 0, s = 0$  and  $v \neq 0$ , parallel to the electric field

Energy gain:

$$\Delta E = e \int_{-g/2}^{g/2} E(s, r, t) ds$$



$$\Delta E = e V_{RF} T_a \sin \phi_0$$

where

$$T_a = \frac{\sin \frac{\omega_{RF} g}{2v}}{\frac{\omega_{RF} g}{2v}}$$

$T_a$  is called transit time factor

$$\cdot T_a < 1$$

$$\cdot T_a \rightarrow 1 \text{ if } g \rightarrow 0$$

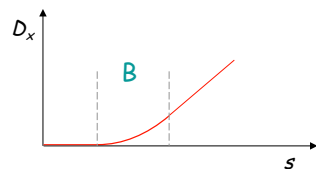
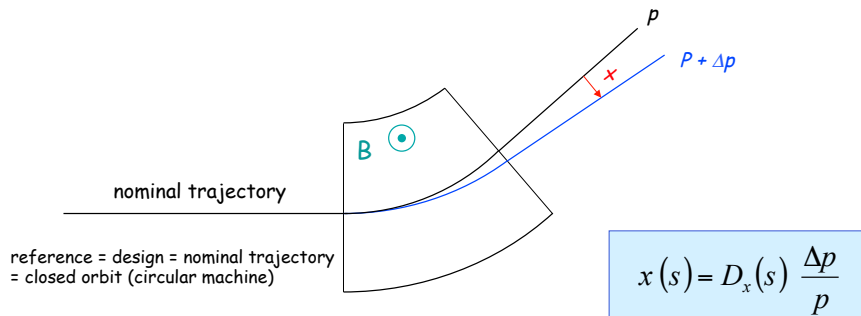
## Transit time factor II

In the general case, the **transit time factor** is given by:

$$T_a = \frac{\int_{-\infty}^{+\infty} E_1(s, r) \cos\left(\omega_{RF} \frac{s}{v}\right) ds}{\int_{-\infty}^{+\infty} E_1(s, r) ds}$$

It is the ratio of the peak energy gained by a particle with velocity  $v$  to the peak energy gained by a particle with infinite velocity.

## Dispersion



## Main RF parameters

### I. Voltage, phase, frequency

In order to accelerate particles, longitudinal fields must be generated in the direction of the desired acceleration

$$E(s, t) = E_1(s) \cdot E_2(t) \quad E_2(t) = E_0 \sin\left[\int_{t_0}^t \omega_{RF} dt + \phi_0\right]$$

$$\omega_{RF} = 2\pi f_{RF} \quad \Delta E = e V_{RF} T_a \sin \phi_0$$

Such electric fields are generated in RF cavities characterized by the voltage amplitude, the frequency and the phase

### II. Harmonic number

$$T_{rev} = h T_{RF} \Rightarrow f_{RF} = h f_{rev}$$

- $f_{rev}$  = revolution frequency
- $f_{RF}$  = frequency of the RF
- $h$  = harmonic number

harmonic number in different machines:

	AA	EPA	PS	SPS
$h$	1	8	20	4620

## Momentum compaction factor in a transport system

In a particle transport system, a **nominal trajectory** is defined for the **nominal momentum**  $p$ .

For a particle with a momentum  $p + \Delta p$  the trajectory length can be different from the length  $L$  of the nominal trajectory.

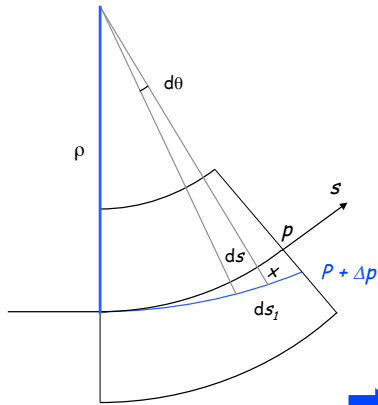
The momentum compaction factor is defined by the ratio:

$$\alpha_p = \frac{dL/L}{dp/p}$$

Therefore, for small momentum deviation, to first order it is:

$$\frac{\Delta L}{L} = \alpha_p \frac{\Delta p}{p}$$

Example: constant magnetic field



$$ds = \rho d\theta$$

$$ds_1 = (\rho + x) d\theta$$

$$\frac{ds_1 - ds}{ds} = \frac{(\rho + x)d\theta - \rho d\theta}{\rho d\theta} = \frac{x}{\rho} = \frac{D_x dp}{\rho p}$$

By definition of dispersion  $D_x$

$$\alpha_p = \frac{1}{L} \int_0^L \frac{D_x(s)}{\rho(s)} ds$$

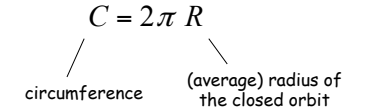
To first order, only the bending magnets contribute to a change of the trajectory length ( $r = \infty$  in the straight sections)

Momentum compaction in a ring

In a circular accelerator, a **nominal closed orbit** is defined for the **nominal momentum  $p$** .  
For a particle with a momentum deviation  $\Delta p$  produces an orbit length variation  $\Delta C$  with:

For  $B = \text{const.}$

$$\frac{\Delta C}{C} = \alpha_p \frac{\Delta p}{p}$$



The momentum compaction factor is defined by the ratio:

$$\alpha_p = \frac{dC/C}{dp/p} = \frac{dR/R}{dp/p}$$

and

$$\alpha_p = \frac{1}{C} \int \frac{D_x(s)}{\rho(s)} ds$$

N.B.: in most circular machines,  $\alpha_p$  is positive  $\Rightarrow$  higher momentum means longer circumference

Momentum compaction as a function of energy

$$E = \frac{pc}{\beta} \quad \Rightarrow \quad \frac{dE}{E} = \beta^2 \frac{dp}{p}$$

$$\alpha_p = \beta^2 \frac{E}{R} \frac{dR}{dE}$$

Momentum compaction as a function of magnetic field

Definition of average magnetic field

$$\langle B \rangle = \frac{1}{2\pi R} \int_C B_f ds = \frac{1}{2\pi R} \left( \int_{\text{straights}} B_f ds + \int_{\text{magnets}} B_f ds \right)$$

$$\langle B \rangle = \frac{B_f \rho}{R} \quad \Rightarrow \quad \int_{\text{straights}} B_f ds = 0 \quad \int_{\text{magnets}} B_f ds = 2\pi \rho B_f$$

$$B_f \rho = \frac{p}{e} \quad \Rightarrow \quad \frac{d\langle B \rangle}{\langle B \rangle} = \frac{dB_f}{B_f} + \frac{d\rho}{\rho} - \frac{dR}{R}$$

$$\langle B \rangle R = \frac{p}{e} \quad \Rightarrow \quad \frac{d\langle B \rangle}{\langle B \rangle} + \frac{dR}{R} = \frac{dp}{p}$$

For  $B_f = \text{const.}$

$$\alpha_p = 1 - \frac{d\langle B \rangle}{\langle B \rangle} \frac{dp}{p}$$



### Transition energy

Proton (ion) circular machine with  $\alpha_p$  positive

1. Momentum larger than the nominal ( $p + \Delta p$ )  $\Rightarrow$  longer orbit ( $C + \Delta C$ )
2. Momentum larger than the nominal ( $p + \Delta p$ )  $\Rightarrow$  higher velocity ( $v + \Delta v$ )

What happens to the revolution frequency  $f = v/C$ ?

- At low energy,  $v$  increases faster than  $C$  with momentum
- At high energy  $v = c$  and remains almost constant

$\Rightarrow$  There is an energy for which the velocity variation is compensated by the trajectory variation  $\Rightarrow$  transition energy

Below transition: higher energy  $\Rightarrow$  higher revolution frequency  
Above transition: higher energy  $\Rightarrow$  lower revolution frequency

### Transition energy - quantitative approach

We define a parameter  $\eta$  (revolution frequency spread per unit of momentum spread):

$$\eta = \frac{df/f}{dp/p} = \frac{d\omega/\omega}{dp/p}$$

$$f = \frac{v}{C} \quad \Rightarrow \quad \frac{df}{f} = \frac{d\beta}{\beta} - \frac{dC}{C}$$

$$\text{from } p = \frac{m_0 c \beta}{\sqrt{1-\beta^2}} \quad \Rightarrow \quad \frac{d\beta}{\beta} = \frac{1}{\gamma^2} \frac{dp}{p} \quad \text{definition of momentum compaction factor: } \frac{dC}{C} = \alpha_p \frac{dp}{p}$$

$$\frac{df}{f} = \left( \frac{1}{\gamma^2} - \alpha_p \right) \frac{dp}{p}$$

### Transition energy - quantitative approach

$$\eta = \frac{1}{\gamma^2} - \alpha_p$$

The transition energy is the energy that corresponds to  $\eta = 0$  ( $\alpha_p$  is fixed, and  $\gamma$  variable)

$$\gamma_{tr} = \sqrt{\frac{1}{\alpha_p}}$$

The parameter  $\eta$  can also be written as

$$\eta = \frac{1}{\gamma^2} - \frac{1}{\gamma_{tr}^2}$$

- At low energy  $\eta > 0$
- At high energy  $\eta < 0$

N.B.: for electrons,  $\gamma \gg \gamma_{tr} \Rightarrow \eta < 0$   
 for linacs  $\alpha_p = 0 \Rightarrow \eta > 0$

### LESSON III

Equations related to synchrotrons

Synchronous particle

Synchrotron oscillations

Principle of phase stability

Equations related to synchrotrons

$$\frac{dp}{p} = \gamma_{tr}^2 \frac{dR}{R} + \frac{dB}{B}$$

$$\frac{dp}{p} = \gamma^2 \frac{df}{f} + \gamma^2 \frac{dR}{R}$$

$$\frac{dB}{B} = \gamma_{tr}^2 \frac{df}{f} + \left[ 1 - \left( \frac{\gamma_{tr}}{\gamma} \right)^2 \right] \frac{dp}{p}$$

$$\frac{dB}{B} = \gamma^2 \frac{df}{f} + (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

$p$  [MeV/c] momentum  
 $R$  [m] orbit radius  
 $B$  [T] magnetic field  
 $f$  [Hz] rev. frequency  
 $\gamma_{tr}$  transition energy

I - Constant radius

$dR = 0$

Beam maintained on the same orbit when energy varies

$$\frac{dp}{p} = \frac{dB}{B}$$

$$\frac{dp}{p} = \gamma^2 \frac{df}{f}$$

If  $p$  increases  
 →  $B$  increases  
 $f$  increases

II - Constant energy

$dp = 0$

$V_{RF} = 0$  Beam debunches

$$\frac{dp}{p} = 0 = \gamma_{tr}^2 \frac{dR}{R} + \frac{dB}{B}$$

$$\frac{dp}{p} = 0 = \gamma^2 \frac{df}{f} + \gamma^2 \frac{dR}{R}$$

If  $B$  increases  
 →  $R$  decreases  
 $f$  increases

III - Magnetic flat-top

$dB = 0$

Beam bunched with constant magnetic field

$$\frac{dp}{p} = \gamma_{tr}^2 \frac{dR}{R}$$

$$\frac{dB}{B} = 0 = \gamma_{tr}^2 \frac{df}{f} + \left[ 1 - \left( \frac{\gamma_{tr}}{\gamma} \right)^2 \right] \frac{dp}{p}$$

$$\frac{dB}{B} = 0 = \gamma^2 \frac{df}{f} + (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

If  $p$  increases  
 →  $R$  increases  
 $f$  increase  $\gamma < \gamma_{tr}$   
 decreases  $\gamma > \gamma_{tr}$

IV - Constant frequency

$df = 0$

Beam driven by an external oscillator

$$\frac{dp}{p} = \gamma^2 \frac{dR}{R}$$

$$\frac{dB}{B} = \left[ 1 - \left( \frac{\gamma_{tr}}{\gamma} \right)^2 \right] \frac{dp}{p}$$

$$\frac{dB}{B} = (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

If p increases

$\rightarrow$  R increases  
 $\rightarrow$  B decreases  $\gamma < \gamma_{tr}$   
 $\rightarrow$  increase  $\gamma > \gamma_{tr}$

Four conditions - resume

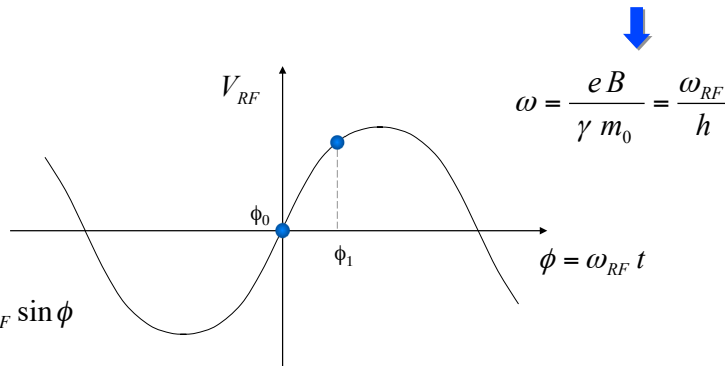
Beam	Parameter	Variations
Debunched	$\Delta p = 0$	$B \uparrow, R \downarrow, f \uparrow$
Fixed orbit	$\Delta R = 0$	$B \uparrow, p \uparrow, f \uparrow$
Magnetic flat-top	$\Delta B = 0$	$p \uparrow, R \uparrow, f \uparrow (\eta > 0)$ $f \downarrow (\eta < 0)$
External oscillator	$\Delta f = 0$	$B \uparrow, p \downarrow, R \downarrow (\eta > 0)$ $p \uparrow, R \uparrow (\eta < 0)$

$p$  momentum  
 $R$  orbit radius  
 $B$  magnetic field  
 $f$  frequency

Synchronous particle

Simple case (no accel.):  $B = \text{const.}$   $\gamma < \gamma_{tr}$

Synchronous particle: particle that sees always the same phase (at each turn) in the RF cavity

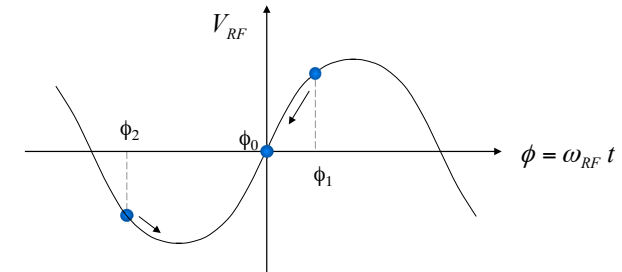


$$\Delta E = e \hat{V}_{RF} \sin \phi$$

In order to keep the resonant condition, the particle must keep a constant energy  
 The phase of the synchronous particle must therefore be  $\phi_0 = 0$  (circular machines convention)  
 Let's see what happens for a particle with the same energy and a different phase (e.g.,  $\phi_1$ )

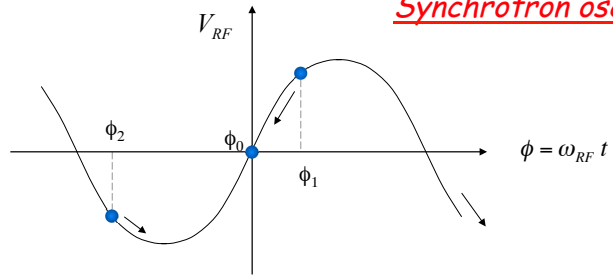
Synchrotron oscillations

- $\phi_1$
- The particle is accelerated
  - Below transition, an increase in energy means an increase in revolution frequency
  - The particle arrives earlier - tends toward  $\phi_0$

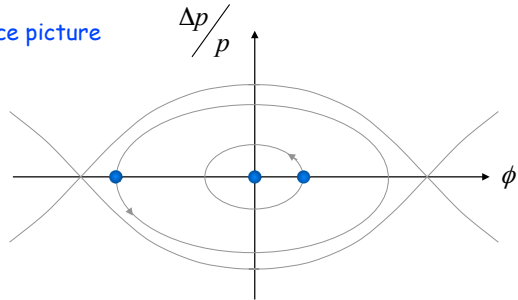


- $\phi_2$
- The particle is decelerated
  - decrease in energy - decrease in revolution frequency
  - The particle arrives later - tends toward  $\phi_0$

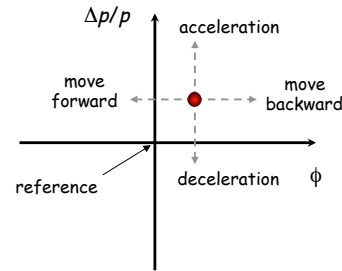
Synchrotron oscillations



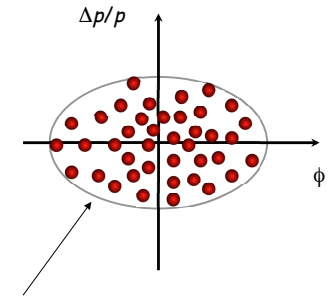
Phase space picture



Longitudinal phase space



The particle trajectory in the phase space  $(\phi, \Delta p/p, )$  describes its longitudinal motion

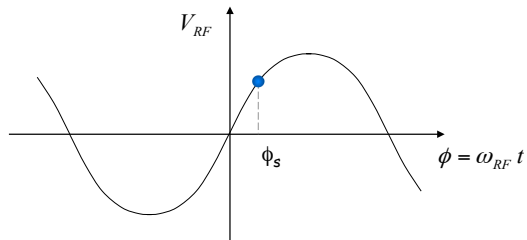


Emittance: phase space area including all the particles

NB: if the emittance contour correspond to a possible orbit in phase space, its shape does not change with time (matched beam)

Synchronous particle

Case with acceleration  $B$  increasing  $\gamma < \gamma_{tr}$



$$\Delta E = e \hat{V}_{RF} \sin \phi$$

The phase of the synchronous particle is now  $\phi_s > 0$  (circular machines convention)

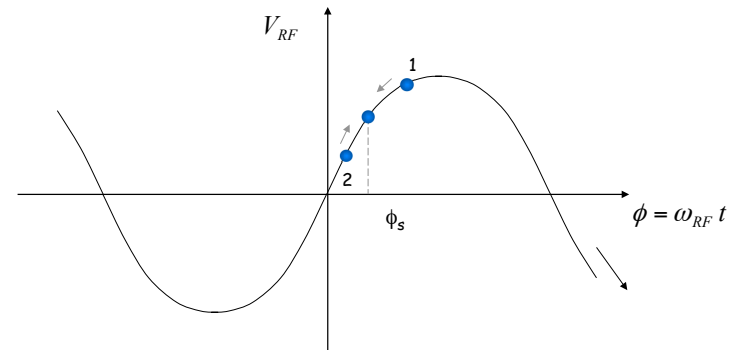
The synchronous particle accelerates, and the magnetic field is increased accordingly to keep the **constant radius R**

$$R = \frac{\gamma v m_0}{eB}$$

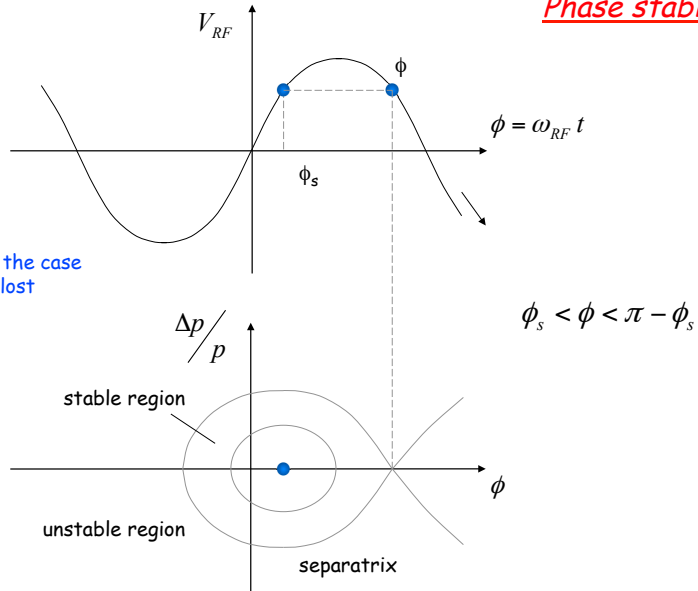
The RF frequency is increased as well in order to keep the **resonant condition**

$$\omega = \frac{e B}{\gamma m_0} = \frac{\omega_{RF}}{h}$$

Phase stability



Phase stability



The symmetry of the case with  $B = \text{const.}$  is lost

$$\phi_s < \phi < \pi - \phi_s$$

LESSON IV

RF acceleration for synchronous particle

RF acceleration for non-synchronous particle

Small amplitude oscillations

Large amplitude oscillations - the RF bucket

Synchrotron frequency and tune

Tracking

Nonadiabatic theory needed "close" to transition

Double RF systems

RF acceleration for synchronous particle - energy gain

Let's assume a synchronous particle with a given  $\phi_s > 0$

We want to calculate its rate of acceleration, and the related rate of increase of  $B, f$ .

$$p = e B \rho$$

Want to keep  $p = \text{const}$

$$\rightarrow \frac{dp}{dt} = e \rho \frac{dB}{dt} = e \rho \dot{B}$$

Over one turn:  $(\Delta p)_{turn} = e \rho \dot{B} T_{rev} = e \rho \dot{B} \frac{2\pi R}{\beta c}$

We know that (relativistic equations) :  $\Delta p = \frac{\Delta E}{\beta c}$

$$\rightarrow (\Delta E)_{turn} = e \rho \dot{B} 2\pi R$$

RF acceleration for synchronous particle - phase

$(\Delta E)_{turn} = e \rho \dot{B} 2\pi R$  On the other hand, for the synchronous particle:  $(\Delta E)_{turn} = e \hat{V}_{RF} \sin \phi_s$

$$e \rho \dot{B} 2\pi R = e \hat{V}_{RF} \sin \phi_s$$

Therefore: 1. Knowing  $\phi_s$ , one can calculate the increase rate of the magnetic field needed for a given RF voltage:

$$\rightarrow \dot{B} = \frac{\hat{V}_{RF}}{2\pi \rho R} \sin \phi_s$$

2. Knowing the magnetic field variation and the RF voltage, one can calculate the value of the synchronous phase:

$$\sin \phi_s = 2\pi \rho R \frac{\dot{B}}{\hat{V}_{RF}} \rightarrow \phi_s = \arcsin\left(2\pi \rho R \frac{\dot{B}}{\hat{V}_{RF}}\right)$$

RF acceleration for synchronous particle - frequency

$$\omega_{RF} = h \omega_s = h \frac{e}{m} \langle B \rangle \quad \left( v = \frac{e}{m} B \rho \right)$$

$$\omega_{RF} = h \frac{e}{m} \frac{\rho}{R} B$$

From relativistic equations:

$$\omega_{RF} = \frac{hc}{R} \sqrt{\frac{B^2}{B^2 + (E_0/ec\rho)^2}}$$

Let

$$B_0 \equiv \frac{E_0}{ec\rho} \quad \rightarrow \quad f_{RF} = \frac{hc}{2\pi R} \left( \frac{B}{B_0} \right) \frac{1}{\sqrt{1 + (B/B_0)^2}}$$

Example: PS

At the CERN Proton Synchrotron machine, one has:

$$R = 100 \text{ m}$$

$$\dot{B} = 2.4 \text{ T/s}$$

100 dipoles with  $l_{eff} = 4.398 \text{ m}$ . The harmonic number is 20

Calculate:

1. The energy gain per turn
2. The minimum RF voltage needed
3. The RF frequency when  $B = 1.23 \text{ T}$  (at extraction)

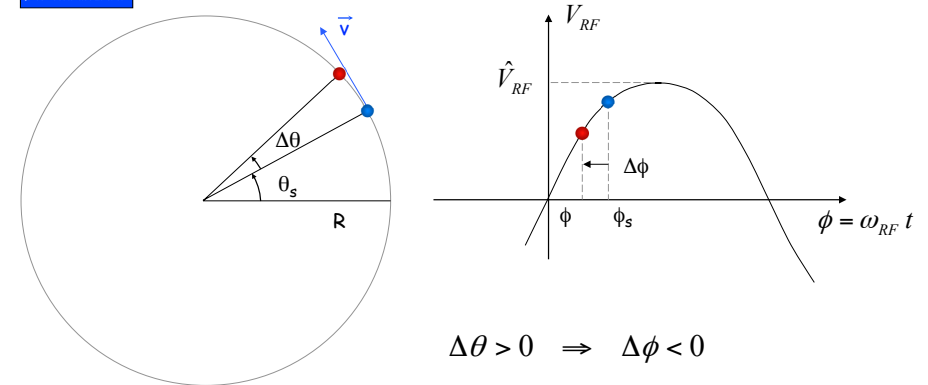
RF acceleration for non synchronous particle

Parameter definition (subscript "s" stands for synchronous particle):

$f = f_s + \Delta f$	revolution frequency
$\phi = \phi_s + \Delta\phi$	RF phase
$p = p_s + \Delta p$	Momentum
$E = E_s + \Delta E$	Energy
$\theta = \theta_s + \Delta\theta$	Azimuth angle

$$ds = R d\theta$$

$$\theta(t) = \int_{t_0}^t \omega(\tau) d\tau$$



Since  $f_{RF} = h f_{rev}$

$$\Delta\phi = -h \Delta\theta$$

Over one turn  $\theta$  varies by  $2\pi$   
 $\phi$  varies by  $2\pi h$

Parameters versus  $\phi$

1. Angular frequency

$$\theta(t) = \int_{t_0}^t \omega(\tau) d\tau \quad \Delta\omega = \frac{d}{dt}(\Delta\theta)$$

$$= -\frac{1}{h} \frac{d}{dt}(\Delta\phi)$$

$$= -\frac{1}{h} \frac{d}{dt}(\phi - \phi_s) \quad \frac{d\phi_s}{dt} = 0 \text{ by definition}$$

$$= -\frac{1}{h} \frac{d\phi}{dt}$$

→ 
$$\Delta\omega = -\frac{1}{h} \frac{d\phi}{dt}$$

Parameters versus  $\phi$

2. Momentum

$$\eta = \frac{d\omega/\omega}{dp/p} = \frac{\Delta\omega/\omega}{\Delta p/p}$$

$$\Delta p = \frac{p_s}{\omega_s} \frac{\Delta\omega}{\eta} = \frac{p_s}{\omega_s \eta} \left( -\frac{1}{h} \frac{d\phi}{dt} \right)$$

→ 
$$\Delta p = -\frac{p_s}{\omega_s \eta h} \frac{d\phi}{dt}$$

3. Energy

$$\frac{dE}{dp} = v \quad \frac{\Delta E}{\Delta p} = v = \omega R$$

→ 
$$\Delta E = -\frac{R p_s}{\eta h} \frac{d\phi}{dt}$$

Derivation of equations of motion

Energy gain after the RF cavity

$$(\Delta E)_{turn} = e \hat{V}_{RF} \sin \phi$$

$$(\Delta p)_{turn} = \frac{e}{\omega R} \hat{V}_{RF} \sin \phi$$

Average increase per time unit

$$\frac{(\Delta p)_{turn}}{T_{rev}} = \frac{e}{2\pi R} \hat{V}_{RF} \sin \phi \quad 2\pi R \dot{p} = e \hat{V}_{RF} \sin \phi \quad \text{valid for any particle!}$$

→ 
$$2\pi (R \dot{p} - R_s \dot{p}_s) = e \hat{V}_{RF} (\sin \phi - \sin \phi_s)$$

Derivation of equations of motion

After some development (see J. Le Duff, in Proceedings CAS 1992, CERN 94-01)

$$2\pi \frac{d}{dt} \left( \frac{\Delta E}{\omega_s} \right) = e \hat{V}_{RF} (\sin \phi - \sin \phi_s)$$

An approximated version of the above is

$$\frac{d(\Delta p)}{dt} = \frac{e \hat{V}_{RF}}{2\pi R_s} (\sin \phi - \sin \phi_s)$$

Which, together with the previously found equation

$$\frac{d\phi}{dt} = -\frac{\omega_s \eta h}{p_s} \Delta p$$

Describes the motion of the non-synchronous particle in the longitudinal phase space ( $\Delta p, \phi$ )

Equations of motion I

$$\begin{cases} \frac{d(\Delta p)}{dt} = A (\sin \phi - \sin \phi_s) \\ \frac{d\phi}{dt} = B \Delta p \end{cases}$$

with  $A = \frac{e \hat{V}_{RF}}{2\pi R_s}$

$$B = -\frac{\eta h \beta_s c}{p_s R_s}$$

Equations of motion II

1. First approximation - combining the two equations:

$$\frac{d}{dt} \left( \frac{1}{B} \frac{d\phi}{dt} \right) - A (\sin \phi - \sin \phi_s) = 0$$

We assume that  $A$  and  $B$  change very slowly compared to the variable  $\Delta\phi = \phi - \phi_s$

$$\rightarrow \frac{d^2\phi}{dt^2} + \frac{\Omega_{sync}^2}{\cos \phi_s} (\sin \phi - \sin \phi_s) = 0$$

with  $\frac{\Omega_{sync}^2}{\cos \phi_s} = -AB$  We can also define:  $\Omega_0^2 = \frac{\Omega_{sync}^2}{\cos \phi_s} = \frac{e \hat{V}_{RF} \eta h c^2}{2\pi R_s^2 E_s}$

Small amplitude oscillations

2. Second approximation

$$\begin{aligned} \sin \phi &= \sin(\phi_s + \Delta\phi) \\ &= \sin \phi_s \cos \Delta\phi + \cos \phi_s \sin \Delta\phi \end{aligned}$$

$\Delta\phi$  small  $\Rightarrow \sin \phi \approx \sin \phi_s + \cos \phi_s \Delta\phi$

by definition  $\frac{d\phi_s}{dt} = 0 \Rightarrow \frac{d^2\phi}{dt^2} = \frac{d^2}{dt^2} (\phi_s + \Delta\phi) = \frac{d^2\Delta\phi}{dt^2}$

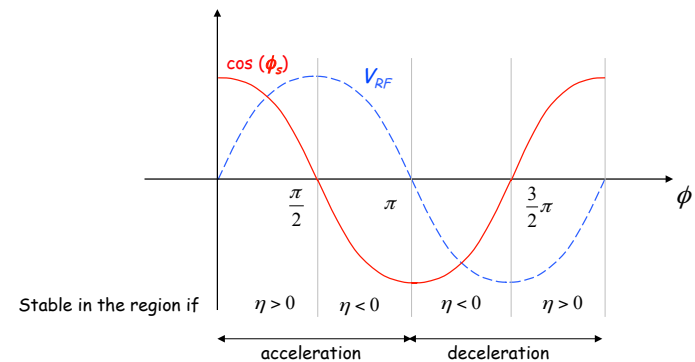
$$\rightarrow \frac{d^2\Delta\phi}{dt^2} + \Omega_{sync}^2 \Delta\phi = 0$$

Harmonic oscillator!

Stability condition for  $\phi_s$

Stability is obtained when the angular frequency of the oscillator,  $\Omega_{sync}^2$  is real positive:

$$\Omega_{sync}^2 = \frac{e \hat{V}_{RF} \eta h c^2}{2\pi R_s^2 E_s} \cos \phi_s \Rightarrow \Omega_{sync}^2 > 0 \Leftrightarrow \eta \cos \phi_s > 0$$





Small amplitude oscillations - orbits

For  $\eta \cos \phi_s > 0$  the motion around the synchronous particle is a stable oscillation:

$$\begin{cases} \Delta\phi = \Delta\phi_{\max} \sin(\Omega_{\text{sync}} t + \phi_0) \\ \Delta p = \Delta p_{\max} \cos(\Omega_{\text{sync}} t + \phi_0) \end{cases}$$

with  $\Delta p_{\max} = \frac{\Omega_{\text{sync}}}{B} \Delta\phi_{\max}$

Large amplitude oscillations

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos \phi_s} (\sin \phi - \sin \phi_s) = 0$$

Multiplying by  $\dot{\phi}$  and integrating

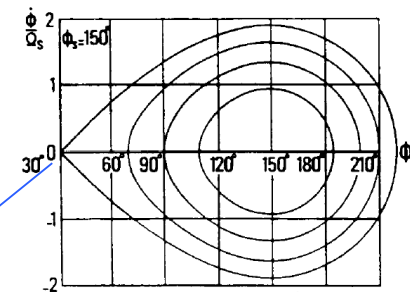
$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos \phi_s} (\cos \phi + \phi \sin \phi_s) = cte$$

Constant of motion

here  $\dot{\phi} = 0$   
 $\phi = \pi - \phi_s$

Equation of the separatrix

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos \phi_s} (\cos \phi + \phi \sin \phi_s) = -\frac{\Omega_s^2}{\cos \phi_s} [\cos(\pi - \phi_s) + (\pi - \phi_s) \sin \phi_s]$$



Synchronous phase 150°

Synchrotron (angular) frequency and synchrotron tune (for small amplitudes)

$$\Omega_{\text{sync}} = \omega_s \sqrt{\frac{e \hat{V}_{RF} h}{2\pi \beta^2 E_s} \eta \cos \phi_s}$$

$$\begin{aligned} \Omega_{\text{sync}} &= 2\pi f_{\text{sync}} \\ \omega_s &= 2\pi f_s \end{aligned}$$

Number of synchrotron oscillations per turn:

$$Q_{\text{sync}} = \frac{\Omega_{\text{sync}}}{\omega_s} = \sqrt{\frac{e \hat{V}_{RF} h}{2\pi \beta^2 E_s} \eta \cos \phi_s} \quad \text{"synchrotron tune"}$$

Phase space separatrix and particle trajectories

- ◆ Equation of the bucket separatrix

$$\frac{\dot{\phi}}{\Omega_s} = \pm \sqrt{\frac{2}{\cos \phi_s} [\cos \phi + \phi \sin \phi_s - \cos(\pi - \phi_s) - (\pi - \phi_s) \sin \phi_s]}$$

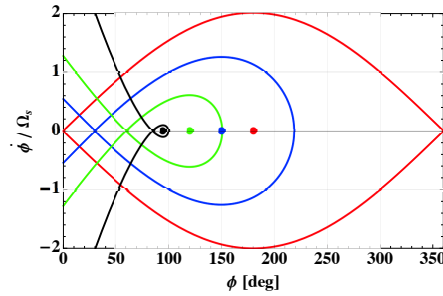
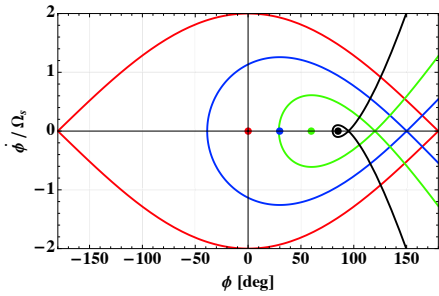
- ◆ Equation of a particle trajectory

$$\frac{\dot{\phi}}{\Omega_s} = \pm \sqrt{\frac{2}{\cos \phi_s} [\cos \phi + \phi \sin \phi_s] + Cte}$$

Phase space separatrix and particle trajectories

◆ (Bucket) separatrices: Below transition

◆ Above transition



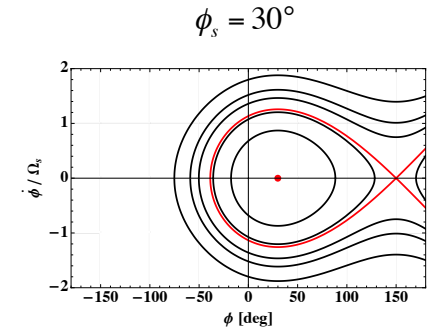
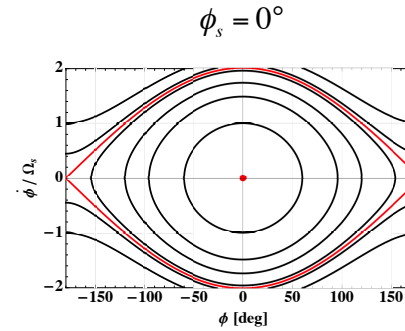
- $\phi_s = 0^\circ$
- $\phi_s = 30^\circ$
- $\phi_s = 60^\circ$
- $\phi_s = 85^\circ$

$$\phi_s \Rightarrow \pi - \phi_s$$

- $\phi_s = 180^\circ$
- $\phi_s = 150^\circ$
- $\phi_s = 120^\circ$
- $\phi_s = 95^\circ$

Phase space separatrix and particle trajectories

◆ Particle trajectories: Below transition



◆ Change of variables if one wants to use  $(\Phi, \Delta E)$  or  $(\Delta t, \Delta E)$  instead of  $(\Phi, d\Phi/dt)$

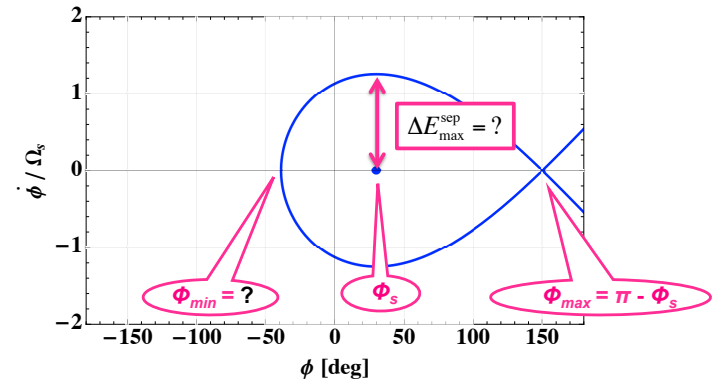
$$\begin{aligned} \Delta\phi &= \phi - \phi_s \\ &= \omega_{RF} \Delta t \\ &= h \omega_s \Delta t \end{aligned} \quad \Delta p = \frac{\Delta E}{\beta_s c} \quad \dot{\phi} = -\frac{\eta h c}{\beta_s E_s R_s} \Delta E$$

=> System of 2 equations to be solved

$$\frac{d}{dt}(\Delta E) = \frac{e \hat{V}_{RF} \omega_s}{2\pi} [\sin(\phi_s + h \omega_s \Delta t) - \sin \phi_s]$$

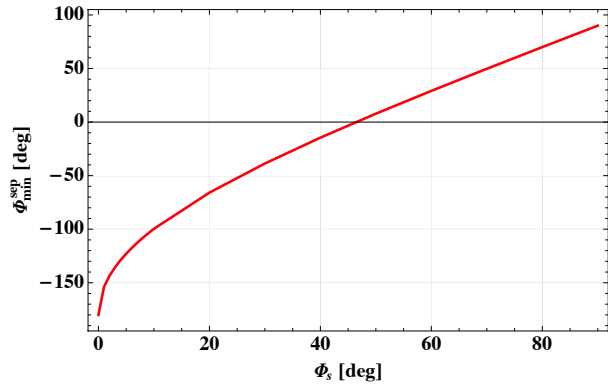
$$\frac{d}{dt}(\Delta t) = -\frac{\eta}{\beta_s^2 E_s} \Delta E$$

◆ 2 questions



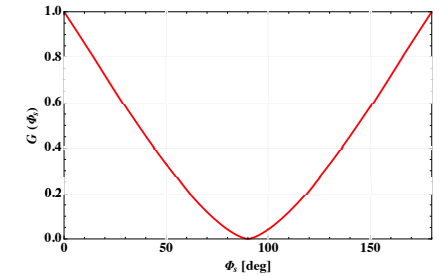
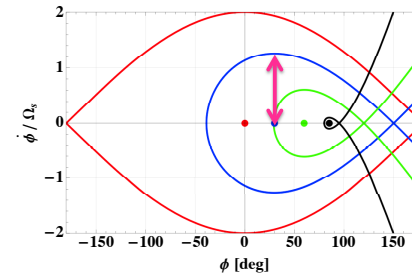
- ◆  $\phi_{\min}^{\text{sep}}$  is obtained from the equation of the separatrix when  $\dot{\phi} = 0$

$$\Rightarrow \cos \phi + \phi \sin \phi_s - \cos(\pi - \phi_s) - (\pi - \phi_s) \sin \phi_s = 0$$



- ◆  $\Delta E_{\max}^{\text{sep}}$  is obtained from the equation of the separatrix when  $\phi = \phi_s$

$$\Delta E_{\max}^{\text{sep}}(\phi_s) = \sqrt{\frac{2 \beta_s^2 E_s e \hat{V}_{RF}}{\pi h \eta}} G(\phi_s) \quad \text{with} \quad G(\phi_s) = \frac{\sqrt{2 \cos \phi_s - (\pi - 2\phi_s) \sin \phi_s}}{\sqrt{2}}$$



$$\phi_s = 0^\circ \quad \phi_s = 30^\circ \quad \phi_s = 60^\circ \quad \phi_s = 85^\circ$$

- ◆ nTOF bunch in the CERN PS (near transition)

Average machine radius: $R$ [m]	100
Bending dipole radius: $\rho$ [m]	70
$\dot{B}$ [T/s]	2.2
$\hat{V}_{RF}$ [kV]	200
$h$	8
$\alpha_p$	0.027
Longitudinal (total) emittance: $\epsilon_L$ [eVs]	2
Number of protons/bunch: $N_b$ [1E10 p/b]	800
Norm. rms. transverse emittance: $\epsilon_{x,y}^*$ [ $\mu\text{m}$ ]	5
Trans. average betatron function: $\beta_{x,y}$ [m]	16
Beam pipe [cm $\times$ cm]	3.5 $\times$ 7
Trans. tunes: $Q_{x,y}$	6.25

20 kV at injection  
 $\Rightarrow \gamma_t \approx 6.1$

### Tracking

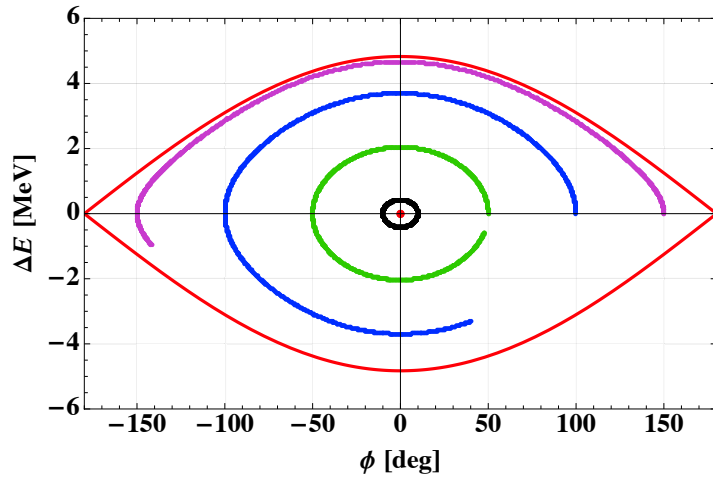
- ◆ The motion of the particles can be tracked turn by turn using the recurrence relation (between turn  $n$  and turn  $n+1$ )

$$\Delta E_{n+1} = \Delta E_n + e \hat{V}_{RF} [\sin \phi_n - \sin \phi_s]$$

$$\phi_{n+1} = \phi_n - \frac{2 \pi h \eta}{\beta_s^2 E_s} \Delta E_{n+1}$$

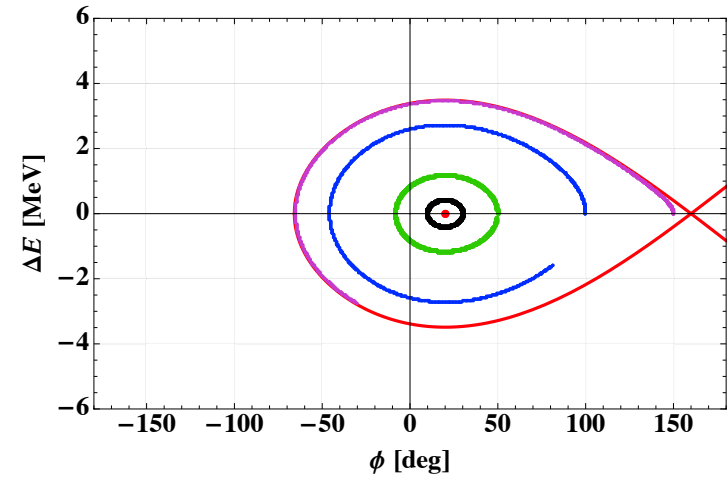
Tracking applied to the nTOF bunch at PS injection  $\phi_s = 0$  deg

$n_{\max} = 758 = 1 / Q_s$



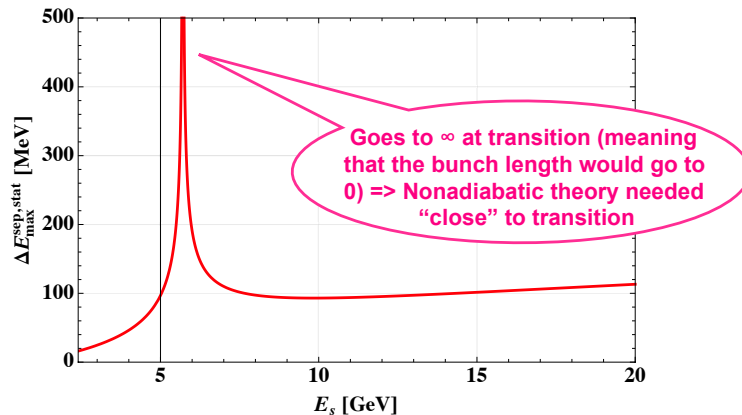
Tracking applied to the nTOF bunch at PS injection  $\phi_s = 20$  deg

$n_{\max} = 782 = 1 / Q_s$



Bucket height near transition (with "adiabatic" theory)

- ◆ Case of a stationary bucket in the PS with the nTOF bunch from injection (~ 2.4 GeV total energy) till top energy (~ 20 GeV total energy) assuming a constant RF voltage (200 kV)



Nonadiabatic theory needed "close" to transition

- ◆ Reminder: the (general, nonlinear) equations, which have to be solved, using the variables  $(\Delta\Phi, \Delta E)$ , are

$$\frac{d \Delta\phi}{dt} = - \frac{h \eta \omega_s}{\beta_s^2 E_s} \Delta E$$

$$\frac{d \Delta E}{dt} = \frac{e \hat{V}_{RF} \omega_s}{2 \pi} [\sin(\phi_s + \Delta\phi) - \sin \phi_s]$$

- ◆ Assuming here only small amplitude particles

$$\frac{d \Delta E}{dt} = \frac{e \hat{V}_{RF} \omega_s}{2 \pi} [\sin(\phi_s + \Delta\phi) - \sin \phi_s] \approx \frac{e \hat{V}_{RF} \omega_s}{2 \pi} \cos \phi_s \Delta\phi$$

Nonadiabatic theory needed "close" to transition

$$\Rightarrow \frac{d}{dt} \left( \frac{\beta_s^2 E_s}{h \eta \omega_s} \frac{d \Delta \phi}{dt} \right) - \frac{e \hat{V}_{RF} \omega_s}{2 \pi} \cos \phi_s \Delta \phi = 0$$

where in general  $\beta_s$ ,  $E_s$ ,  $\eta$  and  $\omega_s$  depend on time

- ◆ Until now we assumed that these parameters were slowly moving => Adiabatic theory
- ◆ However, close to transition the particle will not be able to catch up with the rapid modification of the bucket shape and a nonadiabatic theory is needed

Nonadiabatic theory needed "close" to transition

- ◆ Neglecting the slow time variations of all the parameters except  $\frac{\eta}{E_s}$ , one has to solve

$$\frac{d}{dt} \left( \frac{E_s}{\eta} \frac{d \Delta \phi}{dt} \right) - \frac{h e \hat{V}_{RF} \omega_s^2 \cos \phi_s}{2 \pi \beta_s^2} \Delta \phi = 0$$

- ◆ Assuming then that  $\gamma = \gamma_t + \dot{\gamma} t$ , with  $t = 0$  at transition,

$$-\eta = \frac{1}{\gamma_t^2} - \frac{1}{\gamma^2} \approx \frac{2 \dot{\gamma} t}{\gamma_t^3} \quad E_s = \gamma E_0 \approx \gamma_t E_0$$

$$\frac{\eta}{E_s} \approx - \frac{2 \dot{\gamma} t}{\gamma_t^4 E_0}$$

Nonadiabatic theory needed "close" to transition

- ◆ The (small amplitude) equation which needs to be solved close to transition is

$$\frac{d}{dt} \left( \frac{T_c^3}{|t|} \frac{d \Delta \phi}{dt} \right) + \Delta \phi = 0$$

with  $T_c$  a nonadiabatic time defined by (with  $E_0$  in eV)

$$T_c = \left( \frac{\beta_s^2 E_0 \gamma_t^4}{4 \pi f_s^2 \dot{\gamma} h \hat{V}_{RF} |\cos \phi_s|} \right)^{1/3}$$

~ 1.9 ms for the nTOF bunch in the CERN PS

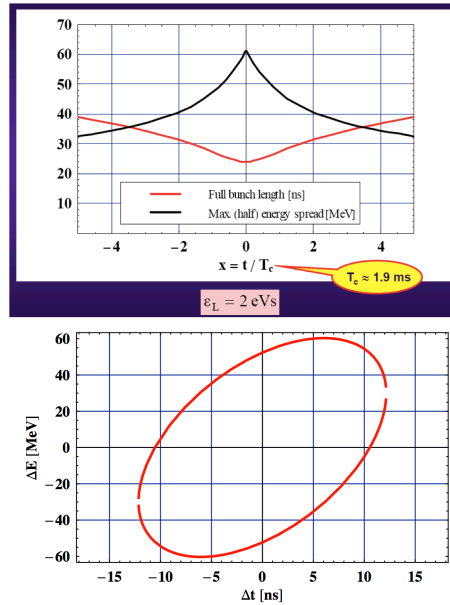
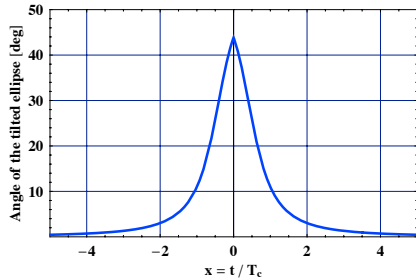
Nonadiabatic theory needed "close" to transition

- ◆ This equation can be solved but the detailed computation is beyond the scope of this course => See for instance (for those interested)

- K.Y. Ng, "Physics of Intensity Dependent Beam Instabilities", World Scientific (2006), p. 691
- E. Métral, USPAS 2009 course, Albuquerque, USA:  
<http://emetral.web.cern.ch/emetral/USPAS09course/EnvelopeEquations.pdf>

Nonadiabatic theory needed  
"close" to transition

- ◆ Numerical (analytical) result for the case of the nTOF bunch in the CERN PS



Double RF systems

- ◆ Show that the motion of the particles can be tracked turn by turn using the recurrence relation (between turn  $n$  and turn  $n+1$ )

$$\Delta E_{n+1} = \Delta E_n + e \hat{V}_{RF} \left[ \sin \phi_n - \sin \phi_s + \frac{V_{RF2}}{\hat{V}_{RF}} \left\{ \sin \left[ \phi_{s2} + \frac{h_2}{h} (\phi_n - \phi_s) \right] - \sin \phi_{s2} \right\} \right]$$

$$\phi_{n+1} = \phi_n - \frac{2 \pi h \eta}{\beta_s^2 E_s} \Delta E_{n+1}$$

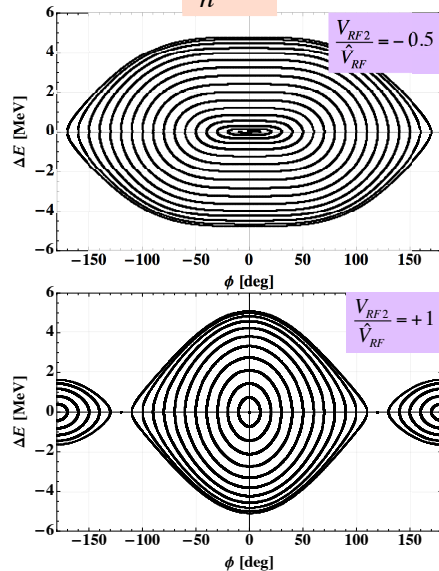
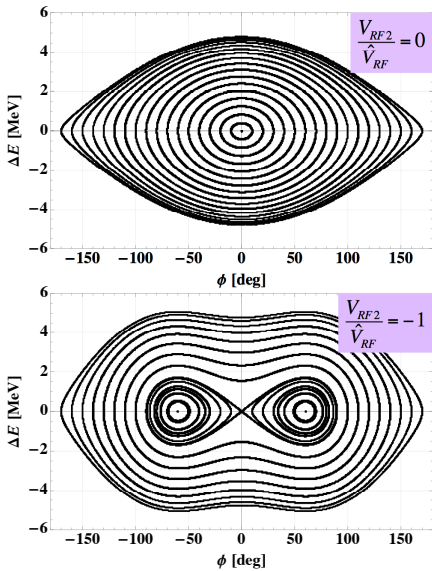
RF voltage of the 2<sup>nd</sup> harmonic

Harmonic number of the 2<sup>nd</sup> harmonic

Synchronous phase of the 2<sup>nd</sup> harmonic

Double RF systems

$$\frac{h_2}{h} = 2 \quad \phi_s = \phi_{s2} = 0$$



LESSON V

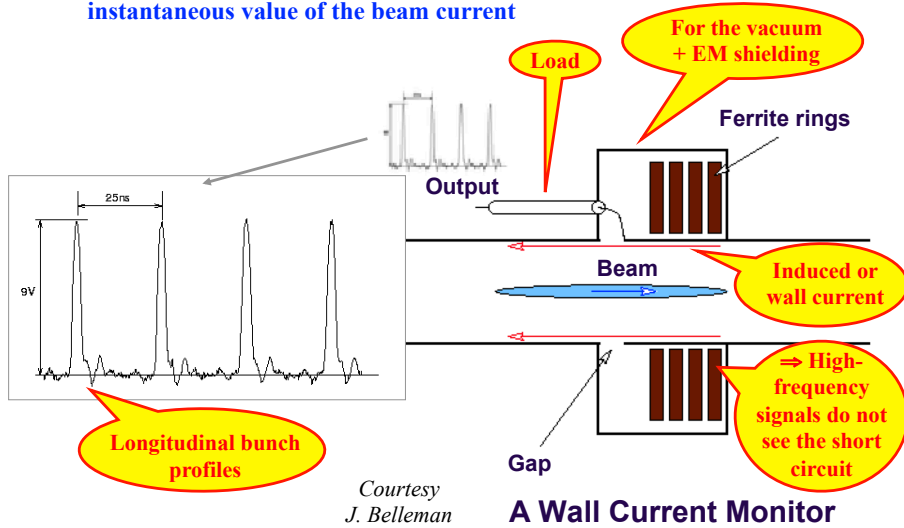
Measurement of the longitudinal bunch profile and Tomography

RF manipulations

The ESME simulation code (and/or the pyHEADTAIL simulation code by Benoit Salvant)

### Measurement of the longitudinal bunch profile

=> WALL CURRENT MONITOR = Device used to measure the instantaneous value of the beam current



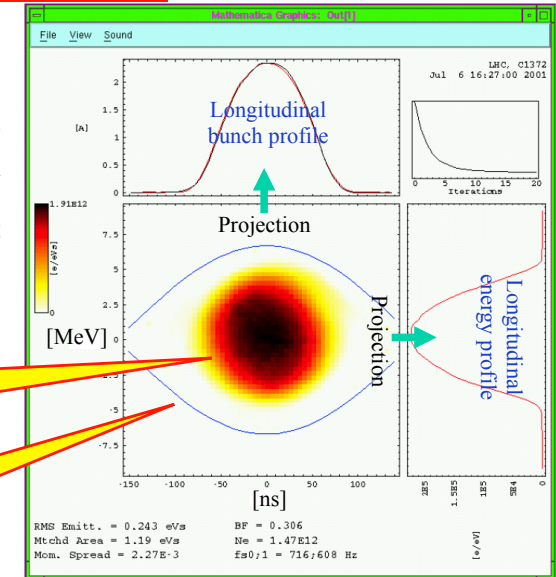
### Tomography

TOMOSCOPE (developed by S. Hancock, CERN/BE/RF)

The aim of TOMOGRAPHY is to estimate an unknown distribution (here the 2D longitudinal distribution) using only the information in the bunch profiles

Surface = Longitudinal EMITTANCE of the bunch =  $\epsilon_L$  [eV.s]

Surface = Longitudinal ACCEPTANCE of the bucket



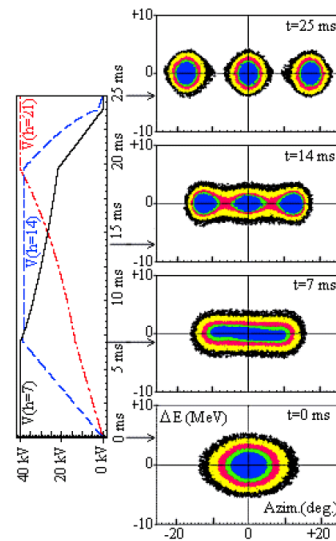
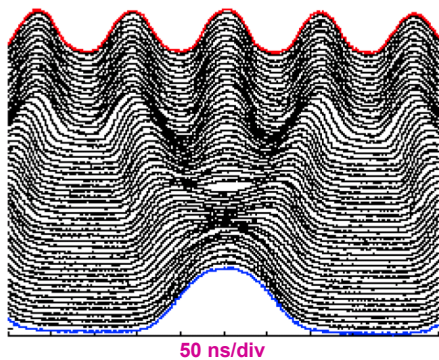
### RF manipulations

Double splitting also exists (and also merging => See example with ESME)

TRIPLE BUNCH SPLITTING

Courtesy R. Garoby

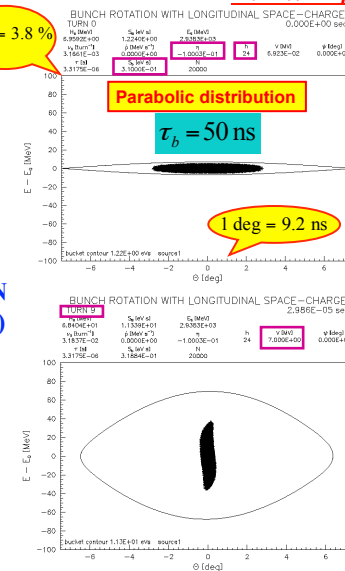
1 trace / 356 revolutions (~ 800  $\mu$ s)



### RF manipulations

$\frac{\Delta p}{p_0} = 3.8 \%$

BUNCH ROTATION (with ESME)



## The ESME simulation code

Want to calculate the evolution of a distribution of particles in energy and azimuth as it is acted upon by the Radio Frequency (RF) system of a synchrotron or storage ring? => Use **ESME code**

Several RF systems and many other effects can be included

ESME => It is not an acronym. The name is that of the heroine of J. D. Salinger's short story "To Esme with Love and Squalor"

Code initially developed during the years 1981-82 for the design of the Tevatron I Antiproton Source and first documented for general use in 1984

Homepage = <http://www-ap.fnal.gov/ESME/>

## The ESME simulation code

**-i = interactive output (pauses between plots)**

5) To run the program with this input file, type: `./esme -i -f docdat1.dat`  
=> The program starts to run and ask for Device Specification (? for list):  
=> Typing `? + enter`, one can see the different options for the plots

**-f filename = use filename for input instead of standard input**

```
Device Specification (? for list) :?
PGPLOT v5.2.2 Copyright 1997 California Institute of Technology
Interactive devices:
/XWINDOW (X window window@node:display.screen/xw)
/XSERVE (A /XWINDOW window that persists for re-use)
Non-interactive file formats:
/GIF (Graphics Interchange Format file, landscape orientation)
/VGIF (Graphics Interchange Format file, portrait orientation)
/LATEX (LaTeX picture environment)
/NULL (Null device, no output)
/PNG (Portable Network Graphics file)
/TPNG (Portable Network Graphics file - transparent background)
/PS (PostScript file, landscape orientation)
/VPS (PostScript file, portrait orientation)
/CPS (Colour PostScript file, landscape orientation)
/VCPS (Colour PostScript file, portrait orientation)
Device Specification (? for list) :
```

=> Typing `/CPS + enter`, the program finishes and produces plots in colour, in a ps file and landscape orientation (called `pgplot.ps`)

## The ESME simulation code

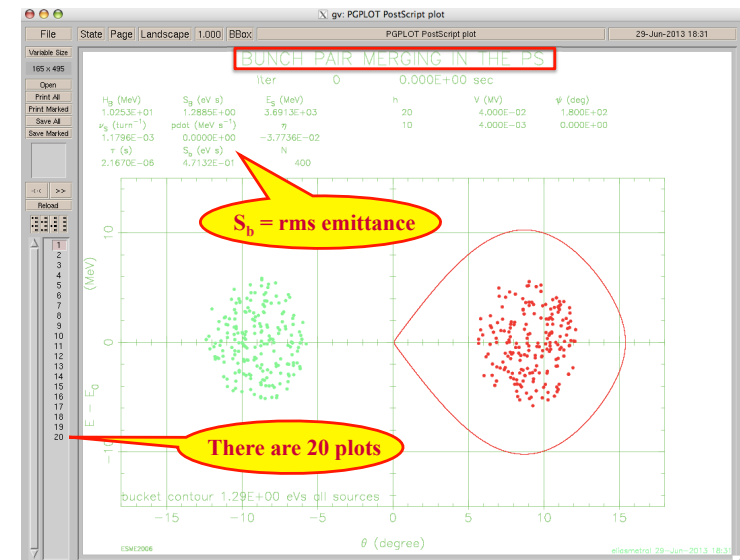
Download and execution of the ESME code in local:

**Procedure given in <http://www-ap.fnal.gov/ESME/>**

- 1) We need a recent version of `gcc / gfortran` (to compile the fortran program) and the `pgplot` library
- 2) My local executable (many thanks Laurent Deniau, due to my old MAC!) is called `esme` in the folder `/Users/eliasmetral/Documents/CERN/Private_Since_07-12-08/Courses/JUAS/2014/ESME_Tutorial` (Reminder to make this file an executable: `chmod +x esme`)
- 3) To have the labels on the pictures, we need also to install 2 files: `grfont.dat` and `rgb.txt`
- 4) A first example can be taken from the source code downloaded => In the folder `EXAMPLES`, the first input file is called `docdat1.dat` => Put it in the folder where the executable is

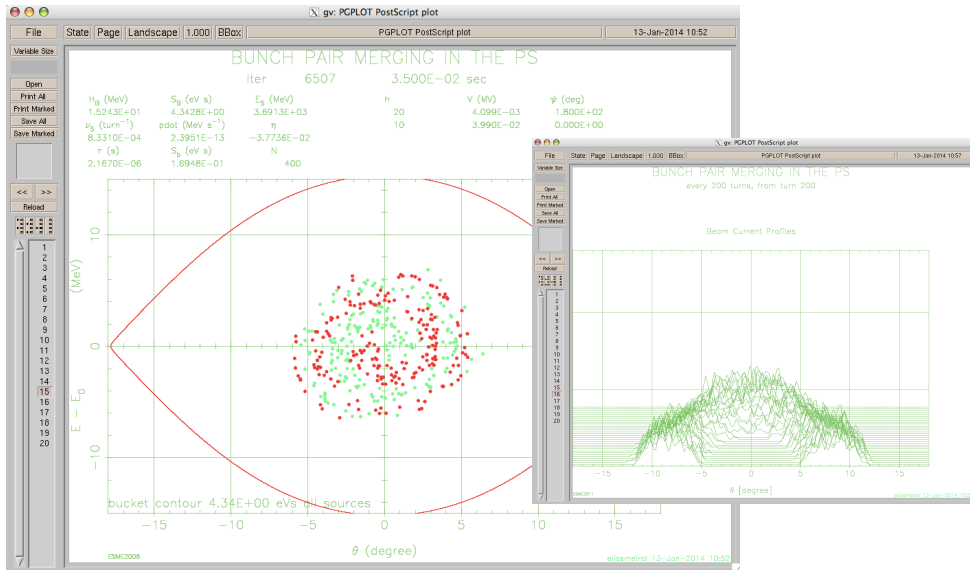
## The ESME simulation code

6) Typing `gv pgplot.ps` & gives the following result





## The ESME simulation code



## The ESME simulation code Some info about the input file

Initialize memory for certain arrays according to input data

Write comment in output

Ring parameters

Acceleration (RF)

Populate phase space

Graphical Output

Display graphical Output

Track distribution

Select quantities to be plotted from History

Quit

```

docdat1.dat
I This memory allocation reduces the default allocation.
&MEMORY KNPHASE=2001 /END
W Test of adiabatic bunch pair merging in the PS
  CERN PS at 3.57 GeV/c
&RING REQ=100., GAMTSQ=37.21, W0I=2753., FRAC=5. KURVEB=0 /END
A h=20 and h=10 RF systems: turn down h=10 and turn up h=20 linearly.
&RF NRF=2 H=20,10 VI=40.E-3,4.E-3 VF=4.E-3,40.E-3 TVEND=0.0351,0.0351 KURVE=1,1
  PSII=0.,-90. PSIF=0.,-90. TPBEG=0.,0. TPEND=.0351,.0351 KURVP=1,1 /END
P Parabolic bunch 1; center it in h=20 bucket. Distinguish it from bunch 2.
&POPL8 KIND=14 SBNCH=0.4 NPOINT=200 THOFF=-9. /END
P Parabolic bunch 2. Center in next h=20 bucket.
&POPL8 THOFF=9. /END
A RF for tracking. Only the phases have changed; everything else is the same.
&RF PSII=180.,0. PSIF=180.,0. &END
O Output format: Plot Delta E, Delta Theta scatter plot every 5000 turns.
&GRAPH MPLLOT=500 PLTSW(8)=.F. PLTSW(10)=.F.
  IRF=0 THPMIN=-18. THPMAX=18. DEPMIN=-15. DEPMAX=15.
  TITL='BUNCH PAIR MERGING IN THE PS' /END
D Plot at start.
M Set up Mountain Range for a trace every 200 turns.
&MRANGE MRMPLOT=200 IPU=T MRNBIN=200 MRBMIN=-18. MRBMAX=18. /END
T Tracking conditions: just go for 0.035 s.
&CYCLE TTRACK=0.035 RSCALE0=4.97 HISTRY=T /END
D
N Plot mountain range with two iterations of local smoothing
&MRPLOT
  SMOOTH=-2 NTRACE=80 SCALE=0.2 MRPMIN=-18. MRPMAX=18.
  /END
H
&HISTRY NPLT=1,14 1,18 1,6 1,10
  /END
Q ESME stop.
  
```

M => Save azimuthal histograms for composition of a Mountain-range plot

N => Plot mountain-range data

The command character must be in its correct case, but parameter input is not case sensitive