

JUAS

LONGITUDINAL BEAM DYNAMICS

Elias Métral (CERN BE Department)

The present transparencies are inherited from [Frank Tecker](#) (CERN-BE), who gave this course in 2010 (I already gave this course in 2011-12-13-14) and who inherited them from [Roberto Corsini](#) (CERN-BE), who gave this course in the previous years, based on the ones written by [Louis Rinolfi](#) (CERN-BE) who held the course at JUAS from 1994 to 2002 (see CERN/PS 2000-008 (LP)):

<http://cdsweb.cern.ch/record/446961/files/ps-2000-008.pdf>

Material from [Joel LeDuff's](#) Course at the CERN Accelerator School held at Jyväskylä, Finland the 7-18 September 1992 (CERN 94-01) has been used as well:

<http://cdsweb.cern.ch/record/235242/files/p253.pdf>
<http://cdsweb.cern.ch/record/235242/files/p289.pdf>

I attended the course given by Louis Rinolfi in 1996 and was his assistant in 2000 and 2001 (and the assistant of Michel Martini for his course on transverse beam dynamics)

This course and related exercises / exams (as well as other courses) can be found in my web page: <http://emetral.web.cern.ch/emetral/>

Assistant since last year: [Elena Benedetto](#) (CERN BE Department)

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PURPOSE OF THIS COURSE

Discuss the oscillations of the particles in the longitudinal plane of synchrotrons, called **SYNCHROTRON OSCILLATIONS** (similarly to the betatron oscillations in the transverse planes), and derive the basic equations

Example of the LHC p beam in the injector chain

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PURPOSE OF THIS COURSE

IN REAL SPACE

Single-particle trajectory

One particle

Circular design orbit

in the middle of the vacuum chamber

IN PHASE SPACE

Horizontal Vertical

Courtesy of A.W. Chao

Longitudinal, bunched beam, below transition

Longitudinal, unbunched beam, below transition

Longitudinal, bunched beam, above transition

Longitudinal, unbunched beam, above transition

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8 Lectures

4 Tutorials

Fields & Forces
Relativity
Acceleration (electrostatic, RF)
Synchrotrons
Longitudinal phase space
Momentum Compaction
Transition energy
Synchrotron oscillations
RF manipulations
The ESME simulation code

Examination: WE 11/02/2015
 (09:15 to 10:45)

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WEEK 2						
	Monday Jan 19 th	Tuesday Jan 20 th	Wednesday Jan 21 st	Thursday Jan 22 nd	Friday Jan 23 rd	
09:15	Transverse Dynamics lecture A. Latina	Longitudinal Dynamics lecture E. Métral	Transverse Dynamics lecture A. Latina	Transverse Dynamics lecture A. Latina	Longitudinal Dynamics lecture E. Métral	09:15
10:15	Coffee Break	Coffee Break	Coffee Break	Coffee Break	Coffee Break	10:15
10:30	Transverse Dynamics tutorial A. Latina	Longitudinal Dynamics tutorial E. Métral / E. Benedetto	Longitudinal Dynamics lecture E. Métral	Longitudinal Dynamics lecture E. Métral	Longitudinal Dynamics lecture E. Métral	10:30
11:30	Transverse Dynamics tutorial A. Latina	Transverse Dynamics lecture A. Latina	Longitudinal Dynamics tutorial E. Métral / E. Benedetto	Longitudinal Dynamics lecture E. Métral	Longitudinal Dynamics tutorial E. Métral / E. Benedetto	11:30
12:30	LUNCH	LUNCH	LUNCH	LUNCH	LUNCH	12:30
14:00	Bus leaves at 13:30 from JUAS	Exercises in computer room		Exercises in computer room		14:00
15:00	VISIT AT CERN (Visit at CTF3 and Synchrotron)	Transverse Dynamics tutorial A. Latina / J. Resta Lopez	Longitudinal Dynamics lecture E. Métral	Transverse Dynamics tutorial A. Latina / J. Resta Lopez	Longitudinal Dynamics tutorial E. Métral / E. Benedetto	15:00
16:00		Longitudinal Dynamics lecture E. Métral	Transverse Dynamics tutorial A. Latina	Transverse Dynamics lecture A. Latina / J. Resta Lopez	Transverse Dynamics tutorial A. Latina	16:00
16:15		Coffee Break	Coffee Break	Coffee Break	Coffee Break	16:15
17:15		Intro. to MADX G. Sterbini	MADX G. Sterbini / A. Latina / J. Resta Lopez / N. Fuster	MADX G. Sterbini / A. Latina / J. Resta Lopez / N. Fuster	MADX G. Sterbini / A. Latina / J. Resta Lopez / N. Fuster	17:15
18:15	Return scheduled at 18:00	MADX G. Sterbini / A. Latina / J. Resta Lopez / N. Fuster	MADX G. Sterbini / A. Latina / J. Resta Lopez / N. Fuster			

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<p><i>LESSON I</i></p> <p><i>Fields & forces</i></p> <p><i>Acceleration by time-varying fields</i></p> <p><i>Relativistic equations</i></p>	

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<p><i>Fields and force</i></p> <p>Equation of motion for a particle of charge q</p> $\vec{F} = \frac{d\vec{p}}{dt} = q(\vec{E} + \vec{v} \times \vec{B})$ <div style="display: flex; justify-content: space-around; margin-top: 20px;"> <div style="border: 1px solid black; padding: 5px;"> $\vec{p} = m\vec{v}$ \vec{v} \vec{E} \vec{B} </div> <div style="text-align: right;"> <p>Momentum</p> <p>Velocity</p> <p>Electric field</p> <p>Magnetic field</p> </div> </div>	

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<p>The fields must satisfy <i>Maxwell's equations</i></p> <p>The integral forms, in vacuum, are recalled below:</p> <div style="margin-top: 10px;"> <p>1. Gauss's law (electrostatic) $\int_S \vec{E} \cdot d\vec{s} = \frac{1}{\epsilon_0} \int_V \rho dV$</p> <p>2. No free magnetic poles (magnetostatic) $\int_S \vec{B} \cdot d\vec{s} = 0$</p> <p>3. Ampere's law (modified by Gauss) (electric varying) $\int_L \vec{B} \cdot d\vec{l} = \mu_0 \int_S \vec{j} \cdot d\vec{s} + \frac{1}{c^2} \int_S \frac{\partial \vec{E}}{\partial t} \cdot d\vec{s}$</p> <p>4. Faraday's law (magnetic varying) $\int_L \vec{E} \cdot d\vec{l} = - \int_S \frac{\partial \vec{B}}{\partial t} \cdot d\vec{s}$</p> </div>	

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Maxwell's equations

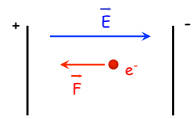
The differential forms, in vacuum, are recalled below:

1. Gauss's law $\nabla \cdot \vec{E} = \frac{1}{\epsilon_0} \rho(\vec{r}, t)$
2. No free magnetic poles $\nabla \cdot \vec{B} = 0$
3. Ampere's law (modified by Gauss) $\nabla \times \vec{B} = \mu_0 \vec{j}(\vec{r}, t) + \frac{1}{c^2} \frac{\partial \vec{E}}{\partial t}$
4. Faraday's law $\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$

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Constant electric field



$$\frac{d\vec{p}}{dt} = -e \vec{E}$$

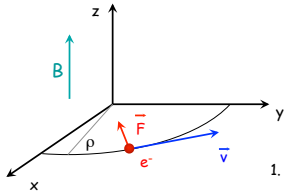
1. Direction of the force always parallel to the field
2. Trajectory can be modified, velocity also \Rightarrow momentum and energy can be modified

This force can be used to accelerate and decelerate particles

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Constant magnetic field



$$\frac{d\vec{p}}{dt} = \vec{F} = -e (\vec{v} \times \vec{B})$$

1. Direction always perpendicular to the velocity
2. Trajectory can be modified, but not the velocity

$$e v B = \frac{m v^2}{\rho}$$

This force cannot modify the energy

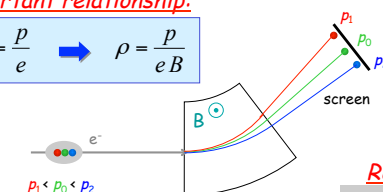
magnetic rigidity: $B \rho = \frac{p}{e}$ angular frequency: $\omega = 2\pi f = \frac{e}{m} B$

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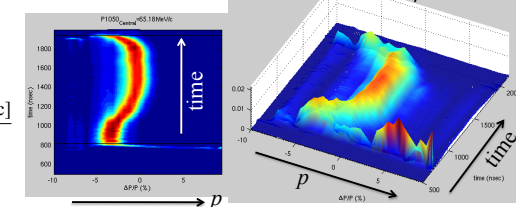
Application: spectrometer

Important relationship:

$$B \rho = \frac{p}{e} \quad \Rightarrow \quad \rho = \frac{p}{e B}$$


Real life example: CTF3

Time-resolved spectrum



Practical units:

$$B \rho [\text{Tm}] \approx \frac{p [\text{GeV}/c]}{0.3}$$

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Larmor formula

An accelerating charge radiates a power P given by:

$$P = \frac{2}{3} \frac{r_e}{m_0 c} \left\{ \dot{p}_{\parallel}^2 + \gamma^2 \dot{p}_{\perp}^2 \right\}$$

↓ Acceleration in the direction of the particle motion
 ↓ Acceleration perpendicular to the particle motion

↓

"Synchrotron radiation"

Energy lost on a trajectory L

$$W = \int_L \frac{P}{v} ds \quad \rightarrow \quad W [\text{eV/turm}] = 88 \cdot 10^3 \frac{E^4 [\text{GeV}]}{\rho [\text{m}]}$$

For electrons in a constant magnetic field:

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Comparison of magnetic and electric forces

$$|\vec{B}| = 1 \text{ T}$$

$$|\vec{E}| = 10 \text{ MV/m}$$

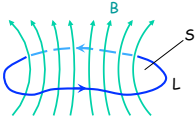
$$\frac{F_{\text{MAGN}}}{F_{\text{ELEC}}} = \frac{evB}{eE} = \beta c \frac{B}{E} \approx 3 \cdot 10^8 \frac{1}{10^7} \beta = 30 \beta$$

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Acceleration by time-varying magnetic field

A variable magnetic field produces an electric field (Faraday's Law):

$$\int_L \vec{E} \cdot d\vec{l} = - \int_S \frac{\partial \vec{B}}{\partial t} \cdot d\vec{s} = - \frac{d\Phi}{dt}$$


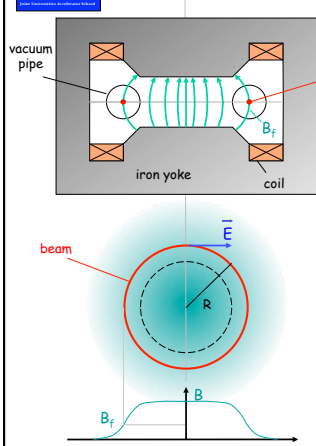
It is the **Betatron** concept

The varying magnetic field is used to guide particles on a circular trajectory as well as for acceleration

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Betatron



$$\int_L \vec{E} \cdot d\vec{l} = 2\pi R E = - \frac{d\Phi}{dt} = -\pi R^2 \frac{dB_{ave}}{dt}$$

$$\frac{dp}{dt} = eE = \frac{1}{2} eR \frac{dB_{ave}}{dt}$$

$$B \rho = \frac{p}{e} \quad \rightarrow \quad \frac{dp}{dt} = eR \frac{dB_f}{dt}$$

$$B_f = \frac{1}{2} B_{ave} + const.$$

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Acceleration by time-varying electric field

- Let V_{RF} be the amplitude of the RF voltage across the gap g
- The particle crosses the gap at a distance r
- The energy gain is:

$$\Delta E = e \int_{-g/2}^{g/2} \vec{E}(s, r, t) d\vec{s}$$

[MeV] (1 for electrons or protons) [n] [MV/m]

In the cavity gap, the electric field is supposed to be:

$$E(s, r, t) = E_1(s, r) \cdot E_2(t)$$

In general, $E_2(t)$ is a sinusoidal time variation with angular frequency ω_{RF}

$$E_2(t) = E_c \sin(\Phi(t)) \quad \text{where} \quad \Phi(t) = \int_0^t \omega_{RF} dt + \Phi_0$$

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Convention

- For circular accelerators, the origin of time is taken at the **zero crossing** of the RF voltage with positive slope
- For linear accelerators, the origin of time is taken at the positive **crest** of the RF voltage

Time $t=0$ chosen such that:

$$E_2(t) = E_c \sin(\omega_{RF} t) \quad \text{Graph 1}$$

$$E_2(t) = E_c \cos(\omega_{RF} t) \quad \text{Graph 2}$$

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Relativistic Equations

$E = mc^2$

<p>normalized velocity</p> $\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}}$	<p>energy</p> $E = E_{kin} + E_0$ <p style="text-align: center;">total kinetic rest</p>
<p>total energy / rest energy</p> $\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1 - \beta^2}}$	<p>momentum</p> $p = mv = \beta \frac{E}{c} = \beta \gamma m_0 c$

energy	momentum	mass
eV	eV/c	eV/c ²

$$p^2 c^2 = E^2 - E_0^2 \quad \gamma = 1 + \frac{E_{kin}}{E_0}$$

$$p [\text{GeV}/c] \cong 0.3 B[\text{T}] \rho[\text{m}]$$

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First derivatives

$$d\beta = \beta^{-1} \gamma^{-3} d\gamma$$

$$d(cp) = E_0 \gamma^3 d\beta$$

$$d\gamma = \beta(1 - \beta^2)^{-3/2} d\beta$$

Logarithmic derivatives

$$\frac{d\beta}{\beta} = (\beta \gamma)^{-2} \frac{d\gamma}{\gamma}$$

$$\frac{dp}{p} = \frac{\gamma^2}{\gamma^2 - 1} \frac{dE}{E} = \frac{\gamma}{\gamma + 1} \frac{dE_{kin}}{E_{kin}}$$

$$\frac{d\gamma}{\gamma} = (\gamma^2 - 1) \frac{d\beta}{\beta}$$

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LESSON II

An overview of particle acceleration

Transit time factor

Main RF parameters

Momentum compaction

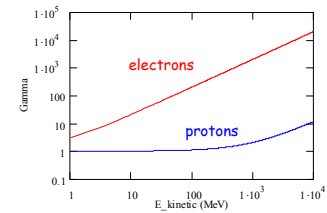
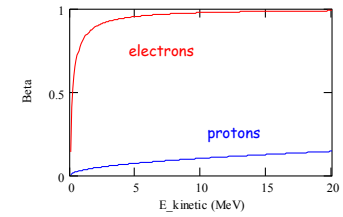
Transition energy

normalized velocity

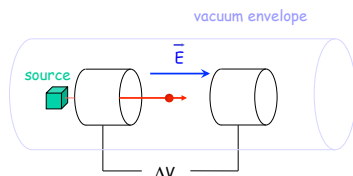
$$\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}}$$

total energy
rest energy

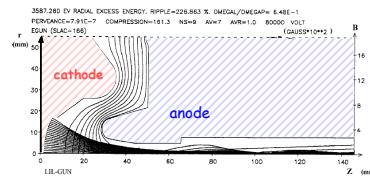
$$\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1 - \beta^2}}$$



Electrostatic accelerators



- The potential difference between two electrodes is used to accelerate particles
- Limited in energy by the maximum high voltage (~ 10 MV)
- Present applications: x-ray tubes, low energy ions, electron sources (thermionic guns)



Electric field potential and beam trajectories inside an electron gun (LEP Injector Linac at CERN), computed with the code E-GUN

Electrostatic accelerator
Protons & Ions



750 kV Cockcroft-Walton source of LINAC 2 (CERN) © CERN Geneva

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Alvarez structure

Used for protons, ions (50 - 200 MeV, $f \sim 200$ MHz)

RF generator

Synchronism condition ($g \ll L$)

$$L = v_s T_{RF} = \beta_s \lambda_{RF}$$

$$\omega_{RF} = 2\pi \frac{v_s}{L}$$

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Proton and ion linacs
(Alvarez structure)

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Electron Linac

Electrons are light \Rightarrow fast acceleration
 $\Rightarrow \beta \approx 1$ already at an energy of a few MeV

\downarrow

Uniform disk-loaded waveguide, travelling wave
 (up to 50 GeV, $f \sim 3$ GHz - S-band)

$$E(z, t) = E_0 e^{i(\omega t - k z)} \quad \text{Electric field}$$

Wave number $k = \frac{2\pi}{\lambda_{RF}}$ Phase velocity $v_{ph} = \frac{\omega}{k}$ Group velocity $v_g = \frac{d\omega}{dk}$

Synchronism condition $\Rightarrow v_{el} \approx c = \frac{\omega}{k} = v_{ph}$

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Electron linacs
& structures

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Used for protons, ions **Cyclotron**

$B = \text{constant}$
 $\omega_{RF} = \text{constant}$

Synchronism condition

$$\omega_s = \omega_{RF}$$

$$2\pi \rho = v_s T_{RF}$$

Cyclotron frequency $\omega = \frac{q B}{m_0 \gamma}$

- γ increases with the energy
⇒ no exact synchronism
- if $v \ll c \Rightarrow \gamma \approx 1$

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TRIUMF 520 MeV cyclotron
University of British Columbia - Canada

Cyclotron (H^- accelerated, protons extracted)

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Synchrocyclotron

Same as cyclotron, except a modulation of ω_{RF}

$B = \text{constant}$
 $\gamma \omega_{RF} = \text{constant}$ ω_{RF} decreases with time

The condition:

$$\omega_s(t) = \omega_{RF}(t) = \frac{q B}{m_0 \gamma(t)}$$

Allows to go beyond the non-relativistic energies

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Synchrotron

Synchronism condition

$$T_s = h T_{RF}$$

$$\frac{2\pi R}{v_s} = h T_{RF}$$

h integer, harmonic number

- ω_{RF} and ω increase with energy
- To keep particles on the closed orbit, B should increase with time

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Synchrotron

The diagram shows a circular synchrotron with radius R . It features two bending magnets at the top and bottom, each with a length L and a bending radius ρ . The magnetic field B is directed out of the page. The particle beam enters at the injection point and exits at the extraction point.

- In reality, the orbit in a synchrotron is not a circle, straight sections are added for RF cavities, injection and extraction, etc..
- Usually the beam is pre-accelerated in a linac (or a smaller synchrotron) before injection
- The bending radius ρ does not coincide to the machine radius $R = L/2\pi$

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Examples of different proton and electron synchrotrons at CERN

- LEAR (CERN) Low Energy Antiproton Ring
- EPA (CERN) Electron Positron Accumulator
- PS (CERN) Proton Synchrotron

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Parameters for circular accelerators

The basic principles, for the common circular accelerators, are based on the two relations:

1. The **Lorentz equation**: the orbit radius can be expressed as:

$$R = \frac{\gamma v m_0}{eB}$$
2. The **synchronicity condition**: The revolution frequency can be expressed as:

$$f = \frac{eB}{2\pi \gamma m_0}$$

According to the parameter we want to keep constant or let vary, one has different acceleration principles. They are summarized in the table below:

Machine	Energy (γ)	Velocity	Field	Orbit	Frequency
Cyclotron	~ 1	var.	const.	$\sim v$	const.
Synchrocyclotron	var.	var.	$B(r)$	$\sim \rho$	$B(r)/\gamma(t)$
Proton/Ion synchrotron	var.	var.	$\sim p$	R	$\sim v$
Electron synchrotron	var.	const.	$\sim p$	R	const.

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Transit time factor

RF acceleration in a gap g

$$E(s, r, t) = E_1(s, r) \cdot E_2(t)$$

Simplified model \rightarrow

$$E_1(s, r) = \frac{V_{RF}}{g} = \text{const.}$$

$$E_2(t) = \sin(\omega_{RF} t + \phi_0)$$

At $t = 0, s = 0$ and $v \neq 0$, parallel to the electric field

Energy gain:

$$\Delta E = e \int_{-g/2}^{g/2} E(s, r, t) ds \rightarrow \Delta E = e V_{RF} T_a \sin \phi_0$$

$$T_a = \frac{\sin \frac{\omega_{RF} g}{2v}}{\frac{\omega_{RF} g}{2v}}$$

T_a is called **transit time factor**

- $T_a < 1$
- $T_a \rightarrow 1$ if $g \rightarrow 0$

where

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Transit time factor II

In the general case, the transit time factor is given by:

$$T_a = \frac{\int_{-\infty}^{+\infty} E_1(s, r) \cos\left(\omega_{RF} \frac{s}{v}\right) ds}{\int_{-\infty}^{+\infty} E_1(s, r) ds}$$

It is the ratio of the peak energy gained by a particle with velocity v to the peak energy gained by a particle with infinite velocity.

Main RF parameters

I. Voltage, phase, frequency

In order to accelerate particles, longitudinal fields must be generated in the direction of the desired acceleration

$$E(s, t) = E_1(s) \cdot E_2(t) \quad E_2(t) = E_0 \sin\left[\int_{t_0}^t \omega_{RF} dt + \phi_0\right]$$

$$\omega_{RF} = 2\pi f_{RF} \quad \Delta E = e V_{RF} T_a \sin \phi_0$$

Such electric fields are generated in RF cavities characterized by the voltage amplitude, the frequency and the phase

II. Harmonic number

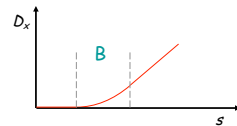
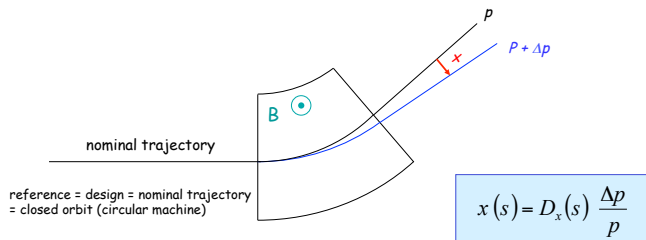
$$T_{rev} = h T_{RF} \Rightarrow f_{RF} = h f_{rev}$$

f_{rev} = revolution frequency
 f_{RF} = frequency of the RF
 h = harmonic number

harmonic number in different machines:

	AA	EPA	PS	SPS
h	1	8	20	4620

Dispersion



Momentum compaction factor in a transport system

In a particle transport system, a nominal trajectory is defined for the nominal momentum p .

For a particle with a momentum $p + \Delta p$ the trajectory length can be different from the length L of the nominal trajectory.

The momentum compaction factor is defined by the ratio:

$$\alpha_p = \frac{dL/L}{dp/p}$$

Therefore, for small momentum deviation, to first order it is:

$$\frac{\Delta L}{L} = \alpha_p \frac{\Delta p}{p}$$

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Example: constant magnetic field

$$ds = \rho d\theta$$

$$ds_1 = (\rho + x) d\theta$$

$$\frac{ds_1 - ds}{ds} = \frac{(\rho + x) d\theta - \rho d\theta}{\rho d\theta} = \frac{x}{\rho} = \frac{D_x}{\rho} \frac{dp}{p}$$

By definition of dispersion D_x

$$\alpha_p = \frac{1}{L} \int_0^L \frac{D_x(s)}{\rho(s)} ds$$

To first order, only the bending magnets contribute to a change of the trajectory length ($r = \infty$ in the straight sections)

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Longitudinal phase space

The particle trajectory in the phase space $(\Delta p/p, \phi)$ describes its longitudinal motion.

Emitance: phase space area including all the particles

NB: if the emittance contour correspond to a possible orbit in phase space, its shape does not change with time (matched beam)

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Bunch compressor

$$\Delta L = \left[4\rho \frac{\tan \theta - \theta}{\sin \theta} + 2l \tan^2 \theta \right] \frac{\Delta p}{p}$$

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Bunch compression

Longitudinal phase space evolution for a bunch compressor (PARMELA code simulations)

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Momentum compaction in a ring

In a circular accelerator, a **nominal closed orbit** is defined for the **nominal momentum p**.
 For a particle with a momentum deviation Δp produces an orbit length variation ΔC with:

For $B = \text{const.}$ $\frac{\Delta C}{C} = \alpha_p \frac{\Delta p}{p}$

$C = 2\pi R$
 (circumference) (average) radius of the closed orbit

The momentum compaction factor is defined by the ratio:

$\alpha_p = \frac{dC/C}{dp/p} = \frac{dR/R}{dp/p}$ and $\alpha_p = \frac{1}{C} \int_C \frac{D_x(s)}{\rho(s)} ds$

N.B.: in most circular machines, α_p is positive \Rightarrow higher momentum means longer circumference

Momentum compaction as a function of energy

$E = \frac{pc}{\beta} \Rightarrow \frac{dE}{E} = \beta^2 \frac{dp}{p}$

$\alpha_p = \beta^2 \frac{E}{R} \frac{dR}{dE}$

Momentum compaction as a function of magnetic field

Definition of average magnetic field

$\langle B \rangle = \frac{1}{2\pi R} \int_C B_f ds = \frac{1}{2\pi R} \left(\int_{\text{straights}} B_f ds + \int_{\text{magnets}} B_f ds \right)$

$\langle B \rangle = \frac{B_f \rho}{R}$ $\Rightarrow \frac{d\langle B \rangle}{\langle B \rangle} = \frac{dB_f}{B_f} + \frac{d\rho}{\rho} - \frac{dR}{R}$

$B_f \rho = \frac{p}{e}$ $\Rightarrow \frac{d\langle B \rangle}{\langle B \rangle} + \frac{dR}{R} = \frac{dp}{p}$

For $B_f = \text{const.}$ $\alpha_p = 1 - \frac{d\langle B \rangle}{\langle B \rangle} \frac{dp}{p}$

Transition energy

Proton (ion) circular machine with α_p positive

- Momentum larger than the nominal ($p + \Delta p$) \Rightarrow longer orbit ($C + \Delta C$)
- Momentum larger than the nominal ($p + \Delta p$) \Rightarrow higher velocity ($v + \Delta v$)

What happens to the revolution frequency $f = v/C$?

- At low energy, v increases faster than C with momentum
 - At high energy $v = c$ and remains almost constant
- \Rightarrow There is an energy for which the velocity variation is compensated by the trajectory variation \Rightarrow **transition energy**

Below transition: higher energy \Rightarrow higher revolution frequency
Above transition: higher energy \Rightarrow lower revolution frequency

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Transition energy - quantitative approach

We define a parameter η (revolution frequency spread per unit of momentum spread):

$$\eta = \frac{df/f}{dp/p} = \frac{d\omega/\omega}{dp/p}$$

$f = \frac{v}{C} \rightarrow \frac{df}{f} = \frac{d\beta}{\beta} - \frac{dC}{C}$

from $p = \frac{m_0 c \beta}{\sqrt{1-\beta^2}} \rightarrow \frac{d\beta}{\beta} = \frac{1}{\gamma^2} \frac{dp}{p}$ definition of momentum compaction factor: $\frac{dC}{C} = \alpha_p \frac{dp}{p}$

$$\frac{df}{f} = \left(\frac{1}{\gamma^2} - \alpha_p \right) \frac{dp}{p}$$

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Transition energy - quantitative approach

The transition energy is the energy that corresponds to $\eta = 0$ (α_p is fixed, and γ variable)

$$\eta = \frac{1}{\gamma^2} - \alpha_p$$

\downarrow

$$\gamma_{tr} = \sqrt{\frac{1}{\alpha_p}}$$

The parameter η can also be written as

$$\eta = \frac{1}{\gamma^2} - \frac{1}{\gamma_{tr}^2}$$

- At low energy $\eta > 0$
- At high energy $\eta < 0$

N.B.: for electrons, $\gamma \gg \gamma_{tr} \Rightarrow \eta < 0$
for linacs $\alpha_p = 0 \Rightarrow \eta > 0$

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LESSON III

Equations related to synchrotrons

Synchronous particle

Synchrotron oscillations

Principle of phase stability

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Equations related to synchrotrons

$$\frac{dp}{p} = \gamma_{tr}^2 \frac{dR}{R} + \frac{dB}{B}$$

$$\frac{dp}{p} = \gamma^2 \frac{df}{f} + \gamma^2 \frac{dR}{R}$$

$$\frac{dB}{B} = \gamma_{tr}^2 \frac{df}{f} + \left[1 - \left(\frac{\gamma_{tr}}{\gamma} \right)^2 \right] \frac{dp}{p}$$

$$\frac{dB}{B} = \gamma^2 \frac{df}{f} + (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

p [MeV/c] momentum
 R [m] orbit radius
 B [T] magnetic field
 f [Hz] rev. frequency
 γ_{tr} transition energy

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I - Constant radius dR = 0

Beam maintained on the same orbit when energy varies

$$\frac{dp}{p} = \frac{dB}{B}$$

$$\frac{dp}{p} = \gamma^2 \frac{df}{f}$$

If p increases
 → B increases
 f increases

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II - Constant energy dp = 0

$V_{RF} = 0$ Beam debunches

$$\frac{dp}{p} = 0 = \gamma_{tr}^2 \frac{dR}{R} + \frac{dB}{B}$$

$$\frac{dp}{p} = 0 = \gamma^2 \frac{df}{f} + \gamma^2 \frac{dR}{R}$$

If B increases
 → R decreases
 f increases

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III - Magnetic flat-top dB = 0

Beam bunched with constant magnetic field

$$\frac{dp}{p} = \gamma_{tr}^2 \frac{dR}{R} \quad \frac{dB}{B} = 0 = \gamma_{tr}^2 \frac{df}{f} + \left[1 - \left(\frac{\gamma_{tr}}{\gamma} \right)^2 \right] \frac{dp}{p}$$

$$\frac{dB}{B} = 0 = \gamma^2 \frac{df}{f} + (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

If p increases
 → R increases $\gamma < \gamma_{tr}$
 f increase $\gamma > \gamma_{tr}$
 decreases

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IV - Constant frequency df = 0

Beam driven by an external oscillator

$$\frac{dp}{p} = \gamma^2 \frac{dR}{R} \quad \frac{dB}{B} = \left[1 - \left(\frac{\gamma_{tr}}{\gamma} \right)^2 \right] \frac{dp}{p}$$

$$\frac{dB}{B} = (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

If p increases
 → B increases $\gamma < \gamma_{tr}$
 B decreases $\gamma > \gamma_{tr}$
 increase

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Four conditions - resume

Beam	Parameter	Variations
Debunched	$\Delta p = 0$	$B \uparrow, R \downarrow, f \uparrow$
Fixed orbit	$\Delta R = 0$	$B \uparrow, p \uparrow, f \uparrow$
Magnetic flat-top	$\Delta B = 0$	$p \uparrow, R \uparrow, f \uparrow (\eta > 0)$ $f \downarrow (\eta < 0)$
External oscillator	$\Delta f = 0$	$B \uparrow, p \downarrow, R \downarrow (\eta > 0)$ $p \uparrow, R \uparrow (\eta < 0)$

p momentum
 R orbit radius
 B magnetic field
 f frequency

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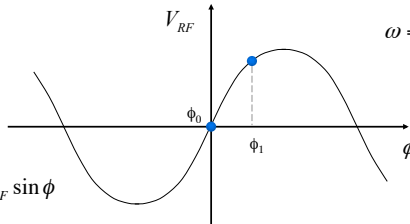
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Synchronous particle

Simple case (no accel.): $B = \text{const.}$ $\gamma < \gamma_{tr}$

Synchronous particle: particle that sees always the same phase (at each turn) in the RF cavity

↓



$$\omega = \frac{e B}{\gamma m_0} = \frac{\omega_{RF}}{h}$$

$\Delta E = e \hat{V}_{RF} \sin \phi$

In order to keep the **resonant condition**, the particle must keep a **constant energy**
 The phase of the synchronous particle must therefore be $\phi_0 = 0$ (circular machines convention)
 Let's see what happens for a particle with the same energy and a different phase (e.g., ϕ_1)

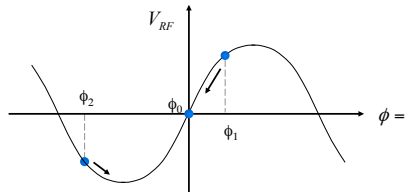
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Synchrotron oscillations

ϕ_1

- The particle is accelerated
- Below transition, an increase in energy means an increase in revolution frequency
- The particle arrives earlier - tends toward ϕ_0



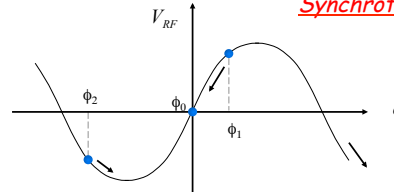
ϕ_2

- The particle is decelerated
- decrease in energy - decrease in revolution frequency
- The particle arrives later - tends toward ϕ_0

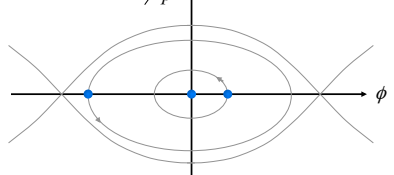
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Synchrotron oscillations



Phase space picture



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Case with acceleration B increasing $\gamma < \gamma_{tr}$ *Synchronous particle*

$\Delta E = e \hat{V}_{RF} \sin \phi$

The phase of the synchronous particle is now $\phi_s > 0$ (circular machines convention)

The synchronous particle accelerates, and the magnetic field is increased accordingly to keep the constant radius R

$$R = \frac{\gamma v m_0}{eB}$$

The RF frequency is increased as well in order to keep the resonant condition

$$\omega = \frac{eB}{\gamma m_0} = \frac{\omega_{RF}}{h}$$

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Phase stability

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Phase stability

The symmetry of the case with $B = \text{const.}$ is lost

$\phi_s < \phi < \pi - \phi_s$

stable region

unstable region

separatrix

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LESSON IV

- RF acceleration for synchronous particle*
- RF acceleration for non-synchronous particle*
- Small amplitude oscillations*
- Large amplitude oscillations - the RF bucket*

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RF acceleration for synchronous particle - energy gain

Let's assume a synchronous particle with a given $\phi_s > 0$
 We want to calculate its rate of acceleration, and the related rate of increase of B, f .

$$p = e B \rho$$

Want to keep $\rho = \text{const}$

$$\rightarrow \frac{dp}{dt} = e \rho \frac{dB}{dt} = e \rho \dot{B}$$

Over one turn: $(\Delta p)_{turn} = e \rho \dot{B} T_{rev} = e \rho \dot{B} \frac{2\pi R}{\beta c}$

We know that (relativistic equations) : $\Delta p = \frac{\Delta E}{\beta c}$

$$\rightarrow (\Delta E)_{turn} = e \rho \dot{B} 2\pi R$$

RF acceleration for synchronous particle - phase

$$(\Delta E)_{turn} = e \rho \dot{B} 2\pi R \quad \text{On the other hand, for the synchronous particle: } (\Delta E)_{turn} = e \hat{V}_{RF} \sin \phi_s$$

$$e \rho \dot{B} 2\pi R = e \hat{V}_{RF} \sin \phi_s$$

Therefore: 1. Knowing ϕ_s , one can calculate the increase rate of the magnetic field needed for a given RF voltage:

$$\rightarrow \dot{B} = \frac{\hat{V}_{RF}}{2\pi \rho R} \sin \phi_s$$

2. Knowing the magnetic field variation and the RF voltage, one can calculate the value of the synchronous phase:

$$\sin \phi_s = 2\pi \rho R \frac{\dot{B}}{\hat{V}_{RF}} \rightarrow \phi_s = \arcsin\left(2\pi \rho R \frac{\dot{B}}{\hat{V}_{RF}}\right)$$

RF acceleration for synchronous particle - frequency

$$\omega_{RF} = h \omega_s = h \frac{e}{m} \langle B \rangle \quad \left(v = \frac{e}{m} B \rho\right)$$

$$\omega_{RF} = h \frac{e}{m} \frac{\rho}{R} B$$

From relativistic equations:

$$\omega_{RF} = \frac{hc}{R} \sqrt{\frac{B^2}{B^2 + (E_0/ec\rho)^2}}$$

Let

$$B_0 \equiv \frac{E_0}{ec\rho} \rightarrow f_{RF} = \frac{hc}{2\pi R} \left(\frac{B}{B_0}\right) \frac{1}{\sqrt{1 + (B/B_0)^2}}$$

Example: PS

At the CERN Proton Synchrotron machine, one has:

$$R = 100 \text{ m}$$

$$\dot{B} = 2.4 \text{ T/s}$$

100 dipoles with $l_{eff} = 4.398 \text{ m}$. The harmonic number is 20

Calculate:

1. The energy gain per turn
2. The minimum RF voltage needed
3. The RF frequency when $B = 1.23 \text{ T}$ (at extraction)

RF acceleration for non synchronous particle

Parameter definition (subscript "s" stands for synchronous particle):

$f = f_s + \Delta f$	revolution frequency
$\phi = \phi_s + \Delta\phi$	RF phase
$p = p_s + \Delta p$	Momentum
$E = E_s + \Delta E$	Energy
$\theta = \theta_s + \Delta\theta$	Azimuth angle

$$ds = R d\theta$$

$$\theta(t) = \int_{t_0}^t \omega(\tau) d\tau$$

$\Delta\theta > 0 \Rightarrow \Delta\phi < 0$

Since $f_{RF} = h f_{rev}$

➔ $\Delta\phi = -h \Delta\theta$ Over one turn θ varies by 2π
 ϕ varies by $2\pi h$

Parameters versus $\dot{\phi}$

1. Angular frequency

$$\begin{aligned} \theta(t) &= \int_{t_0}^t \omega(\tau) d\tau & \Delta\omega &= \frac{d}{dt}(\Delta\theta) \\ & & &= -\frac{1}{h} \frac{d}{dt}(\Delta\phi) \\ & & &= -\frac{1}{h} \frac{d}{dt}(\phi - \phi_s) & \frac{d\phi_s}{dt} &= 0 \text{ by definition} \\ & & &= -\frac{1}{h} \frac{d\phi}{dt} \end{aligned}$$

➔ $\Delta\omega = -\frac{1}{h} \frac{d\phi}{dt}$

Parameters versus $\dot{\phi}$

2. Momentum

$$\begin{aligned} \eta &= \frac{d\omega/\omega}{dp/p} = \frac{\Delta\omega/\omega}{\Delta p/p} & \Delta p &= \frac{p_s}{\omega_s} \frac{\Delta\omega}{\eta} = \frac{p_s}{\omega_s \eta} \left(-\frac{1}{h} \frac{d\phi}{dt} \right) \\ & & & \text{➔ } \Delta p = \frac{-p_s}{\omega_s \eta h} \frac{d\phi}{dt} \end{aligned}$$

3. Energy

$$\begin{aligned} \frac{dE}{dp} &= v & \frac{\Delta E}{\Delta p} &= v = \omega R \\ & & & \text{➔ } \Delta E = -\frac{R p_s}{\eta h} \frac{d\phi}{dt} \end{aligned}$$

Derivation of equations of motion

Energy gain after the RF cavity

$$(\Delta E)_{turn} = e \hat{V}_{RF} \sin \phi$$

$$(\Delta p)_{turn} = \frac{e}{\omega R} \hat{V}_{RF} \sin \phi$$

Average increase per time unit

$$\frac{(\Delta p)_{turn}}{T_{rev}} = \frac{e}{2\pi R} \hat{V}_{RF} \sin \phi \quad 2\pi R \dot{p} = e \hat{V}_{RF} \sin \phi \quad \text{valid for any particle !}$$

$$\rightarrow 2\pi (R \dot{p} - R_s \dot{p}_s) = e \hat{V}_{RF} (\sin \phi - \sin \phi_s)$$

Derivation of equations of motion

After some development (see J. Le Duff, in Proceedings CAS 1992, CERN 94-01)

$$2\pi \frac{d}{dt} \left(\frac{\Delta E}{\omega_s} \right) = e \hat{V}_{RF} (\sin \phi - \sin \phi_s)$$

An approximated version of the above is

$$\frac{d(\Delta p)}{dt} = \frac{e \hat{V}_{RF}}{2\pi R_s} (\sin \phi - \sin \phi_s)$$

Which, together with the previously found equation

$$\frac{d\phi}{dt} = -\frac{\omega_s \eta h}{p_s} \Delta p$$

Describes the motion of the non-synchronous particle in the longitudinal phase space ($\Delta p, \phi$)

Equations of motion I

$$\begin{cases} \frac{d(\Delta p)}{dt} = A (\sin \phi - \sin \phi_s) \\ \frac{d\phi}{dt} = B \Delta p \end{cases}$$

with $A = \frac{e \hat{V}_{RF}}{2\pi R_s}$

$$B = -\frac{\eta h \beta_s c}{p_s R_s}$$

Equations of motion II

1. First approximation - combining the two equations:

$$\frac{d}{dt} \left(\frac{1}{B} \frac{d\phi}{dt} \right) - A (\sin \phi - \sin \phi_s) = 0$$

We assume that A and B change very slowly compared to the variable $\Delta\phi = \phi - \phi_s$

$$\rightarrow \frac{d^2 \phi}{dt^2} + \frac{\Omega_s^2}{\cos \phi_s} (\sin \phi - \sin \phi_s) = 0$$

with $\frac{\Omega_s^2}{\cos \phi_s} = -A B$ We can also define: $\Omega_0^2 = \frac{\Omega_s^2}{\cos \phi_s} = \frac{e \hat{V}_{RF} \eta h c^2}{2\pi R_s^2 E_s}$

JUAS Small amplitude oscillations

2. Second approximation

$$\begin{aligned} \sin \phi &= \sin(\phi_s + \Delta\phi) \\ &= \sin \phi_s \cos \Delta\phi + \cos \phi_s \sin \Delta\phi \end{aligned}$$

$\Delta\phi$ small $\Rightarrow \sin \phi \cong \sin \phi_s + \cos \phi_s \Delta\phi$

$\frac{d\phi_s}{dt} = 0 \Rightarrow \frac{d^2\phi}{dt^2} = \frac{d^2}{dt^2}(\phi_s + \Delta\phi) = \frac{d^2\Delta\phi}{dt^2}$
by definition

$$\frac{d^2\Delta\phi}{dt^2} + \Omega_s^2 \Delta\phi = 0$$

Harmonic oscillator !

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JUAS Stability condition for ϕ_s

Stability is obtained when the angular frequency of the oscillator, Ω_s^2 is real positive:

$$\Omega_s^2 = \frac{e \hat{V}_{RF} \eta h c^2}{2\pi R_s^2 E_s} \cos \phi_s \Rightarrow \Omega_s^2 > 0 \Leftrightarrow \eta \cos \phi_s > 0$$

Stable in the region if $\eta > 0$ $\eta < 0$ $\eta < 0$ $\eta > 0$

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JUAS Small amplitude oscillations - orbits

For $\eta \cos \phi_s > 0$ the motion around the synchronous particle is a stable oscillation:

$$\begin{cases} \Delta\phi = \Delta\phi_{\max} \sin(\Omega_s t + \phi_0) \\ \Delta p = \Delta p_{\max} \cos(\Omega_s t + \phi_0) \end{cases}$$

with $\Delta p_{\max} = \frac{\Omega_s}{B} \Delta\phi_{\max}$

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JUAS Lepton machines e^+, e^-

$$\beta \cong 1, \quad \gamma \text{ large}, \quad \eta \cong -\alpha_p$$

↓

$$\omega_s \cong \frac{c}{R_s}, \quad p_s \cong \frac{E_s}{c} \Rightarrow \Omega_s = \frac{c}{R_s} \left\{ -\frac{e \hat{V}_{RF} \alpha_p h}{2\pi E_s} \cos \phi_s \right\}^{1/2}$$

Number of synchrotron oscillations per turn:

$$Q_s = \frac{\Omega_s}{\omega_s} = \left\{ -\frac{e \hat{V}_{RF} \alpha_p h}{2\pi E_s} \cos \phi_s \right\}^{1/2} \quad \text{"synchrotron tune"}$$

N.B: in these machines, the RF frequency does not change

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Large amplitude oscillations

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos\phi_s} (\sin\phi - \sin\phi_s) = 0$$

↓ Multiplying by $\dot{\phi}$ and integrating

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos\phi_s} (\cos\phi + \phi \sin\phi_s) = cte$$

Constant of motion

here $\dot{\phi} = 0$
 $\phi = \pi - \phi_s$

Synchronous phase 150°

Equation of the separatrix

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos\phi_s} (\cos\phi + \phi \sin\phi_s) = -\frac{\Omega_s^2}{\cos\phi_s} [\cos(\pi - \phi_s) + (\pi - \phi_s) \sin\phi_s]$$

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Energy diagram

"total energy"

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos\phi_s} (\cos\phi + \phi \sin\phi_s) = cte$$

↓ "kinetic energy" ↓ "potential energy U"

$$\frac{d^2\phi}{dt^2} = F(\phi)$$

$$F(\phi) = -\frac{\partial U}{\partial \phi}$$

U(ϕ)

stable equilibrium point unstable equilibrium point

If the total energy is above this limit, the motion is unbounded

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Phase space trajectories

$\gamma > \gamma_{tr}$

Phase space trajectories for different synchronous phases

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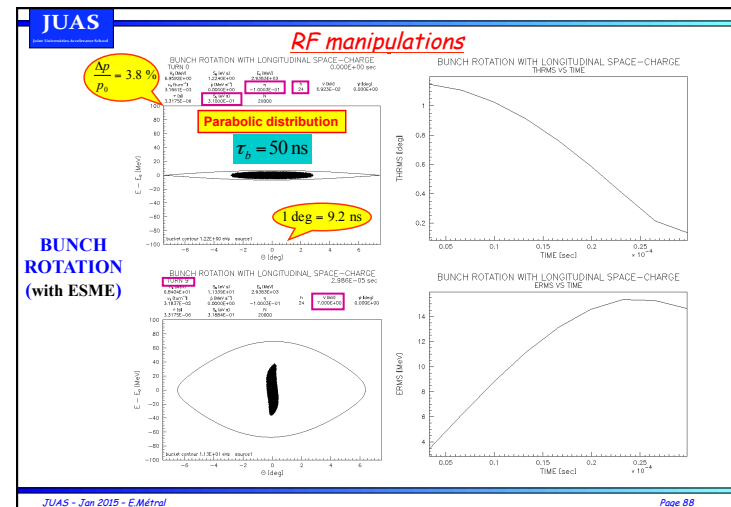
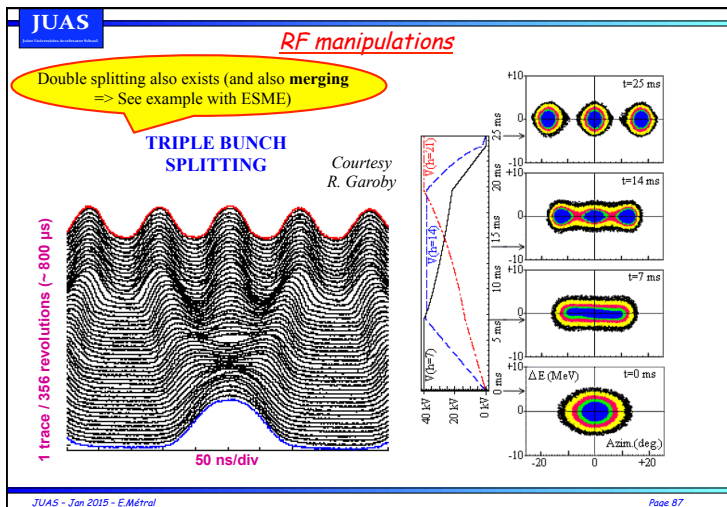
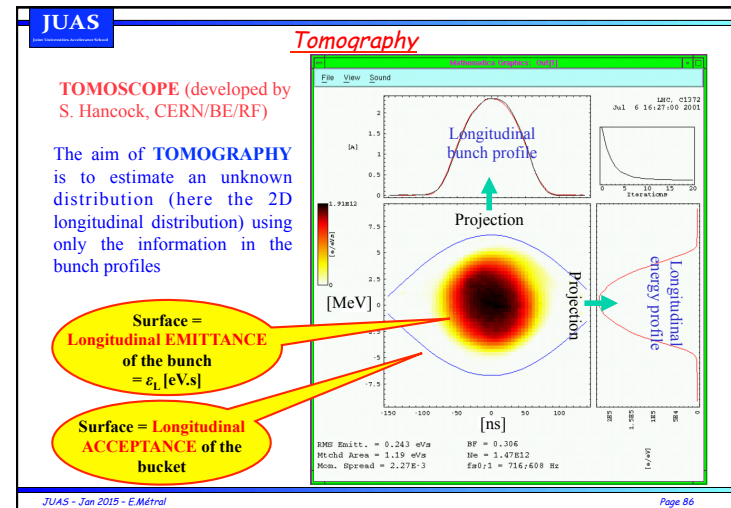
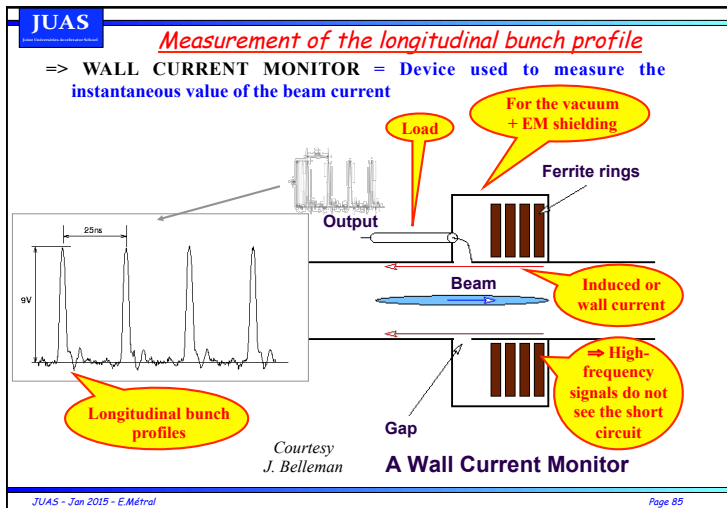
LESSON V

Measurement of the longitudinal bunch profile and Tomography

RF manipulations

The ESME simulation code

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JUAS The ESME simulation code

Want to calculate the evolution of a distribution of particles in energy and azimuth as it is acted upon by the Radio Frequency (RF) system of a synchrotron or storage ring? => **Use ESME code**

Several RF systems and many other effects can be included

ESME => It is not an acronym. The name is that of the heroine of J. D. Salinger's short story "To Esme with Love and Squalor"

Code initially developed during the years 1981-82 for the design of the Tevatron I Antiproton Source and first documented for general use in 1984

Homepage = <http://www-ap.fnal.gov/ESME/>

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JUAS The ESME simulation code

Download and execution of the ESME code in local: Procedure given in <http://www-ap.fnal.gov/ESME/>

- 1) We need a recent version of **gcc / gfortran** (to compile the fortran program) and the **pgplot** library
- 2) My local executable (many thanks Laurent Deniau, due to my old MAC) is called **esme** in the folder `/Users/eliasmetral/Documents/CERN/Private_Since_07-12-08/Courses/JUAS/2014/ESME_Tutorial` (Reminder to make this file an executable: **chmod +x esme**)
- 3) To have the labels on the pictures, we need also to install 2 files: **grfont.dat** and **rgb.txt**
- 4) A first example can be taken from the source code downloaded => In the folder `EXAMPLES`, the first input file is called **docdat1.dat** => Put it in the folder where the executable is

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JUAS The ESME simulation code

5) To run the program with this input file, type: **./esme -i -f docdat1.dat**
 => The program starts to run and ask for Device Specification (? for list):
 => Typing **? + enter**, one can see the different options for the plots

```
Device Specification (? for list) :?
PGPLOT v5.2.2 Copyright 1997 California Institute of Technology
Interactive devices:
  /XWINDOW  CX window window@node:display.screen/xw)
  /XSERVE   CA /XWINDOW window that persists for re-use)
Non-interactive file formats:
  /GIF      (Graphics Interchange Format file, landscape orientation)
  /VGIF     (Graphics Interchange Format file, portrait orientation)
  /LATEX    (LaTeX picture environment)
  /NULL     (Null device, no output)
  /PNG      (Portable Network Graphics file)
  /TPNG     (Portable Network Graphics file - transparent background)
  /PS       (PostScript file, landscape orientation)
  /VPS      (PostScript file, portrait orientation)
  /CPS      (Colour PostScript file, landscape orientation)
  /VCPS     (Colour PostScript file, portrait orientation)
Device Specification (? for list) :
```

=> Typing **/CPS + enter**, the program finishes and produces plots in colour, in a ps file and landscape orientation (called **pgplot.ps**)

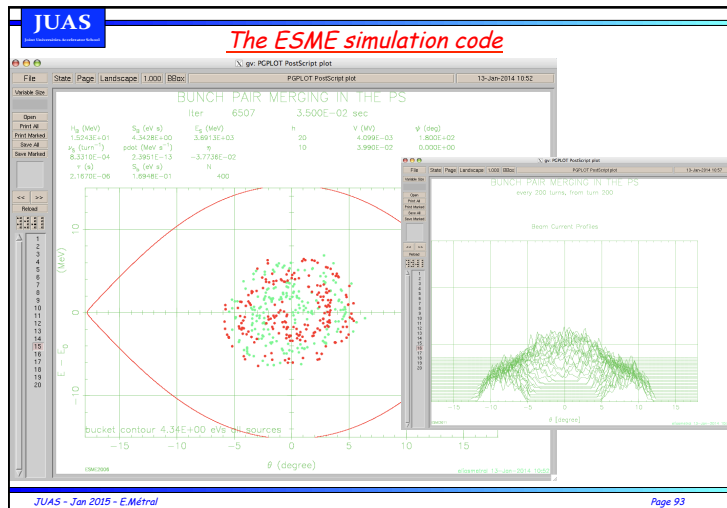
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JUAS The ESME simulation code

6) Typing **gv pgplot.ps** & gives the following result

There are 20 plots

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The ESME simulation code
Some info about the input file

docdat1.dat

This memory allocation reduces the default allocation.
SMEMORY KNPHASE=2001 /END

M Test of adiabatic bunch pair merging in the PS
R CERN PS at 3.57 GeV/c
SRING REQ=100., GAITSQ=37.21, W01=2753., FRAC=5., KURVEB=0 /END
h=20 and h=10 RF systems: turn down h=10 and turn up h=20 linearly.
SRF NRF=2, H=20,10 VI=40.E-3,4.E-3 VF=4.E-3,40.E-3 TVEND=0.0351,0.0351 KURVE=1,1
PSII=0.,-90. PSIF=0.,-90. TPBEG=0.,0. TPEND=.0351,0.0351 KURVP=1,1 /END
P Parabolic bunch 1; center it in h=20 bucket. Distinguish it from bunch 2.
SPOPL8 KIND=14 SBCH=0.4 NPOINT=200 THOFF=-9. /END
Parabolic bunch 2. Center in next h=20 bucket.
SPOPL8 THOFF=9. /END
A RF for tracking. Only the phases have changed; everything else is the same.
SRF PSII=180.,0. PSIF=180.,0. GEN0
O Output format: Plot Delta E, Delta Theta scatter plot every 5000 turns.
SGRAPH MPLOTT=500 PLTSW(3)=F. PLTSW(10)=F.
IRF=0 TRPMIN=-18. TRPMAX=18. DEPMIN=-15. DEPMAX=15.
TITL='BUNCH PAIR MERGING IN THE PS' /END
D Plot at start.
M Set up Mountain Range for a trace every 200 turns.
SMRANGE MRMPLOT=200 IPU=T MRMBIN=200 MRBMIN=-18. MRBMAX=18. /END
T Tracking conditions: just go for 0.035 s.
SCYCLE TTRACK=0.035 RSCALE=4.97 HISTRY=T /END
D Plot mountain range with two iterations of local smoothing
SMRPL0T
SMOOTH=-2 NTRACE=80 SCALE=0.2 MRPMIN=-18. MRPMAX=18.
/END
M HISTRY NPLT=1,14 1,18 1,6 1,10
/END
Q ESME stop.

Initialize memory for certain arrays according to input data

Write comment in output

Ring parameters

Acceleration (RF)

Populate phase space

Graphical Output

Display graphical Output

Track distribution

Select quantities to be plotted from history

Quit

M => Save azimuthal histograms for composition of a Mountain-range plot

N => Plot mountain-range data

The command character must be in its correct case, but parameter input is not case sensitive

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