

Part III

The Synchro-Betatron Hamiltonian



Outline

5 The Synchro-Betatron Hamiltonian

- Series of canonical transformations
- RF fields
- The full Synchro-Betatron Hamiltonian



Canonical transformation to kinetic energy (1)

Hamiltonian

$$\begin{aligned} H(u, P_u, s_0, H_s; s) = & \\ & - \left(1 + \frac{x}{R}\right) \sqrt{\frac{(E_0\beta_0^2 H_s - qV)^2/c^2 - m^2 c^2}{P_0^2}} - P_u^2 \\ & - \frac{1}{B_0 R} \left(1 + \frac{x}{R}\right) A_s \end{aligned} \tag{9}$$

$$P^2 = \frac{(H_0 - qV)^2}{c^2} - m^2 c^2, P_0 = \frac{E_0 \beta_0}{c}$$



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$$P^2 = \frac{(H_0 - qV)^2}{c^2} - m^2 c^2, P_0 = \frac{E_0 \beta_0}{c}$$

Kinetic energy

$$E_0 \beta_0^2 E = E_0 \beta_0^2 H_s - qV \tag{10}$$



Canonical transformation to kinetic energy (2)

Generating function

$$\begin{aligned} F_2(u, \tilde{P}_u, s_0, E; s) &= u\tilde{P}_u + \int H_s(s_0, E) ds_0 \\ &= u\tilde{P}_u + Es_0 + \frac{q}{E_0\beta_0^2} \int V ds_0 \end{aligned}$$

$$\frac{\partial F_2}{\partial u} = P_u = \tilde{P}_u - \frac{q}{E_0\beta_0^2} \int E_u ds_0, \quad \frac{\partial F_2}{\partial \tilde{P}_u} = \tilde{u} = u$$

$$\frac{\partial F_2}{\partial s_0} = H_s, \quad \frac{\partial F_2}{\partial E} = s_E = s_0$$

$$\frac{\partial F_2}{\partial s} = -H_K = -\frac{q}{E_0\beta_0^2} \left(1 + \frac{x}{R}\right) \int E_s ds_0$$

$$E_u = -\frac{\partial}{\partial u} V, \quad E_s = -\frac{1}{1+x/R} \frac{\partial}{\partial s} V$$



Canonical transformation to kinetic energy (3)

Hamiltonian

$$\begin{aligned}\tilde{H}(u, \tilde{P}_u, s_E, E; s) = & -\left(1 + \frac{x}{R}\right) \\ & \times \sqrt{\frac{E_0^2 \beta_0^4 E^2 / c^2 - m^2 c^2}{P_0^2} - \left(\tilde{P}_u - \frac{q}{E_0 \beta_0^2} \int E_u ds_0\right)^2} \\ & - \frac{1}{B_0 R} \left(1 + \frac{x}{R}\right) (A_s - \bar{E}_s)\end{aligned}\tag{11}$$

$$\bar{E}_s = \frac{1}{\beta_0 c} \int E_s ds_0$$



Canonical transformation to kinetic energy (3)

Hamiltonian

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$$\bar{E}_s = \frac{1}{\beta_0 c} \int E_s ds_0$$

The transverse fields are usually small and vanish upon averaging

$$\tilde{P}_u - \frac{q}{E_0 \beta_0^2} \int E_u ds_0 \approx \tilde{P}_u$$



Canonical transformation to kinetic energy (4)

We immediately relabel all " \sim "-variables and can write

Hamiltonian

$$\begin{aligned} H(u, P_u, s_E, E; s) = & - \left(1 + \frac{x}{R}\right) \sqrt{\beta_0^2 E^2 - \frac{1}{\gamma_0^2 \beta_0^2} - P_u^2} \\ & - \frac{1}{B_0 R} \left(1 + \frac{x}{R}\right) (A_s - \bar{E}_s) \end{aligned} \quad (12)$$

$$P_0 = \frac{E_0 \beta_0}{c}$$



Canonical transformation to reference orbit system (1)

Hamiltonian

$$\begin{aligned} H(u, P_u, s_E, E; s) = & - \left(1 + \frac{x}{R}\right) \sqrt{\beta_0^2 E^2 - \frac{1}{\gamma_0^2 \beta_0^2} - P_u^2} \\ & - \frac{1}{B_0 R} \left(1 + \frac{x}{R}\right) (A_s - \bar{E}_s) \end{aligned} \quad (13)$$

$$P_0 = \frac{E_0 \beta_0}{c}$$

Reference orbit

$$\sigma = s - s_E$$



Canonical transformation to reference orbit system (2)

Generating function

$$\begin{aligned} F_3(\tilde{u}, P_u, \sigma, E; s) &= -\tilde{u}P_u - \int s_E(\sigma, E) dE \\ &= -\tilde{u}P_u + \sigma E - \sigma \frac{1}{\beta_0^2} - sE + s \frac{1}{\beta_0^2} \end{aligned}$$

$$\frac{\partial F_3}{\partial \tilde{u}} = -\tilde{P}_u = -P_u, \quad \frac{\partial F_3}{\partial P_u} = -u = -\tilde{u}$$

$$\frac{\partial F_3}{\partial \sigma} = -\delta = -\left(E - \frac{1}{\beta_0^2}\right) = -\frac{1}{\beta_0^2} \left(\frac{\gamma}{\gamma_0} - 1\right), \quad \frac{\partial F_3}{\partial E} = -s_E$$

$$\frac{\partial F_3}{\partial s} = -\delta = -\left(E - \frac{1}{\beta_0^2}\right)$$

$$E = \frac{H_0 - qV}{E_0 \beta_0^2}$$



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Canonical transformation to reference orbit system (3)

We immediately relabel all "~-variables

Hamiltonian

$$\begin{aligned} H(u, P_u, \sigma, \delta; s) = & - \left(1 + \frac{x}{R}\right) \sqrt{\beta_0^2 \left(\delta + \frac{1}{\beta_0^2}\right)^2 - \frac{1}{\gamma_0^2 \beta_0^2} - P_u^2} \\ & - \frac{1}{B_0 R} \left(1 + \frac{x}{R}\right) (A_s - \bar{E}_s) + \delta \end{aligned} \quad (14)$$



Expansions around reference orbit system (1)

We set $\Gamma(\delta)^2 = \beta_0^2 \left(\delta + \frac{1}{\beta_0^2} \right)^2 - \frac{1}{\gamma_0^2 \beta_0^2}$ and obtain

Hamiltonian

$$H = - \left(1 + \frac{x}{R} \right) \sqrt{\Gamma(\delta)^2 - P_u^2} - \frac{1}{B_0 R} \left(1 + \frac{x}{R} \right) (A_s - \bar{E}_s) + \delta \quad (15)$$



Expansions around reference orbit system (2)

- Taylor expansion in P_u :

$$\sqrt{\Gamma^2 - P_u^2} \approx \Gamma - \frac{1}{2\Gamma} P_u^2$$

- Taylor expansion in δ :

$$\sqrt{\beta_0^2 \left(\delta + \frac{1}{\beta_0^2} \right)^2 - \frac{1}{\gamma_0^2 \beta_0^2}} \approx 1 + \delta - \frac{\delta^2}{2\gamma_0^2} + \frac{\delta^3}{2\gamma_0^2}$$

- Taylor expansion in δ :

$$\frac{1}{\sqrt{\beta_0^2 \left(\delta + \frac{1}{\beta_0^2} \right)^2 - \frac{1}{\gamma_0^2 \beta_0^2}}} \approx 1 - \delta + \left(1 + \frac{1}{2\gamma_0^2} \right) \delta^2$$



Canonical transformation to closed orbit system (1)

Hamiltonian

$$\begin{aligned} H &\approx - \left(1 + \frac{x}{R}\right) \left(\Gamma - \frac{1}{2\Gamma} P_u^2\right) - \frac{1}{B_0 R} \left(1 + \frac{x}{R}\right) (A_s - \bar{E}_s) + \delta \\ &= -\Gamma - \frac{x}{R} \Gamma + \frac{1}{2\Gamma} P_u^2 + \frac{1}{2\Gamma} \frac{x}{R} P_u^2 \\ &\quad - \frac{1}{B_0 R} \left(1 + \frac{x}{R}\right) (A_s - \bar{E}_s) + \delta \end{aligned}$$



Identification of terms

Hamiltonian

$$\begin{aligned} H = & -\Gamma - \frac{x}{R}\Gamma + \frac{1}{2\Gamma}P_u^2 + \frac{1}{2\Gamma}\frac{x}{R}P_u^2 \\ & - \frac{1}{B_0 R} \left(1 + \frac{x}{R}\right) (A_s - \bar{E}_s) + \delta \end{aligned}$$

- **Dispersion:** linear synchro-betatron coupling
- **Chromaticity:** terms $\sim P_u^2 \delta^k$
- **Magnetic field terms**

→ Eliminate the first order synchro-betatron coupling by moving to the closed orbit system $(\hat{x}, \hat{p}_x, \hat{\sigma}, \hat{\delta})$ where all first order synchro-betatron terms $\sim \hat{x}\delta^k$ and $\sim \hat{p}_x\delta^k$ cancel; this will naturally introduce dispersion



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Identification of terms

Hamiltonian

$$\begin{aligned} H = & -\Gamma - \frac{x}{R}\Gamma + \frac{1}{2\Gamma}P_u^2 + \delta \\ & + \frac{1}{2}K^2x^2 + \frac{1}{2}g(x^2 - y^2) + \frac{1}{6}(Kg + f)(x^3 - 3xy^2) \end{aligned}$$

$$K = \frac{1}{R}, g = \frac{B_1}{B_0 R}, f = \frac{B_2}{B_0 R}$$

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Canonical transformation to closed orbit system (2)

Generating function

$$F(x, \hat{p}_x, \sigma, \hat{\delta}; s) = x\hat{p}_x + \sigma\hat{\delta} + \sum_{k=1}^n (X_k(s)x - P_k(s)\hat{p}_x + S_k(s))\delta^k$$

$$x = \hat{x} + \sum_{k=1}^n P_k(s)\delta^k$$

$$p_x = \hat{p}_x + \sum_{k=1}^n X_k(s)\delta^k$$



Canonical transformation to closed orbit system (2)

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$$\sigma = \hat{\sigma} - \sum_{k=1}^n k (X_k(s)\hat{x} - P_k(s)\hat{p}_x + S_k(s)) \delta^{k-1}$$

$$- \sum_{k=1}^n \sum_{l=1}^m k X_k(s) P_l(s) \delta^{k+l-1}$$

$$\delta = \hat{\delta}$$

$$\frac{\partial F}{\partial s} = \sum_{k=1}^n (X'_k(s)x - P'_k(s)\hat{p}_x + S'_k(s)) \delta^k$$



Canonical transformation to closed orbit system (3)

Hamiltonian

$$\begin{aligned} H = & -\Gamma - \frac{x}{R}\Gamma + \frac{1}{2\Gamma}p_x^2 + \frac{1}{2}K^2x^2 + \frac{1}{2}g(x^2 - y^2) \\ & + \frac{1}{6}(Kg + f)(x^3 - 3xy^2) + \delta \end{aligned}$$

$$K = \frac{1}{R}, g = \frac{B_1}{B_0 R}, f = \frac{B_2}{B_0 R}$$

- Insert expansions for $\Gamma, 1/\Gamma$ and x, p_x, σ, δ
- Fix a k , collect all terms $\sim \hat{x}\delta^k$ and $\sim \hat{p}_x\delta^k$ and determine the coefficients $X_k(s), P_k(s)$ and $S_k(s)$ such, that these terms vanish
→ Hamiltonian in closed coordinate system



First order dispersion function

$k = 1$: setting the coefficient to vanish yields

First order dispersion function

$$\begin{aligned} X'_1(s) + (K^2 + g)P_1(s) - K &= 0 \\ -P'_1(s) + X_1(s) &= 0 \end{aligned}$$

$$D''(s) + (K^2 + g)D(s) = K$$

$$D(s) = P_1(s)$$



Second order dispersion function

$k = 2$: setting the coefficient to vanish yields

Second order dispersion function

$$\begin{aligned} X_2'(s) + \frac{K}{2\gamma_0^2} + (K^2 + g)P_2(s) + \frac{K}{2}X_1(s)^2 + \left(Kg + \frac{f}{2}\right)P_1(s)^2 &= 0 \\ -P_2'(s) - X_1(s) + X_2(s) + KX_1(s)P_1(s) &= 0 \end{aligned}$$

$$\begin{aligned} D_2''(s) + (K^2 + g)D_2(s) \\ + D''(s) - \frac{K}{2}D'(s)^2 - K^2D(s) + \left(K^3 + 2Kg + \frac{f}{2}\right)D(s)^2 &= -\frac{K}{2\gamma_0^2} \end{aligned}$$

$$D(s) = P_1(s), D_2(s) = P_2(s)$$



Closed orbit Hamiltonian (1)

Hamiltonian up to third order in closed orbit coordinates

$$\begin{aligned} H(\hat{x}, \hat{p}_x, , \hat{y}, \hat{p}_y, \hat{\sigma}, \hat{\delta}; s) = & -\frac{1}{2}\eta' \hat{\delta}^2 + \frac{1}{3}\nu' \hat{\delta}^3 + \frac{1}{2}\hat{p}_x^2 + \frac{1}{2}\hat{p}_y^2 \\ & + \frac{1}{2}K^2 \hat{x}^2 + \frac{1}{2}g (\hat{x}^2 - \hat{y}^2) \\ & + \frac{1}{6}(Kg + f) (\hat{x}^3 - 3\hat{x}\hat{y}^2) \\ & - \frac{q}{P_0}(A_s^{\text{rf}} - \bar{E}_s) \end{aligned} \quad (16)$$



Closed orbit Hamiltonian (2)

Variable annotation

$$\hat{x} = x - D\delta$$

$$\hat{p}_x = p_x - D'\delta$$

$$\hat{\sigma} = \sigma - Dp_x + D'x + DD'\delta$$

$$\hat{\delta} = \delta$$

$$\eta' = KD - \frac{1}{\gamma_0^2}$$

$$\nu' = \frac{KD}{\gamma_0^2} - \frac{1}{2}D'(s)^2 - KD_2(s) - \frac{3}{2\gamma_0^2}$$



Dynamic electric field (1)

Assumptions:

- The RF cavity to be placed in a straight section: $\frac{x}{R} = 0$
- The RF cavity is placed in a low dispersion region: $D(s) \approx 0$
- The RF field is given by $E_{\text{rf}} = V_0 \delta_h(s) \sin(\omega_{\text{rf}} t)$
with $\delta_h(s)$ a periodic delta-function with the period $2\pi R$.

Then, the dynamic field term in the Hamiltonian is

$$\begin{aligned}\frac{q}{P_0} (A_s^{\text{rf}} - \bar{E}_s) &= -\frac{q}{P_0} \int \left(-\frac{\partial}{\partial t} A_s^{\text{rf}} - \frac{\partial}{\partial s} V \right) dt \\ &= -\frac{q}{P_0} \int E_{\text{rf}} dt \\ &= \frac{qV_0}{P_0 \omega_{\text{rf}}} (\delta_h(s) \cos(\omega_{\text{rf}} t) - \cos(\varphi_0)) - \frac{qV_0 \sigma}{2\pi R^2 P_0 \omega_0} \sin(\varphi_0)\end{aligned}$$



Dynamic electric field (2)

We can decompose $\delta_h(s) \cos(\omega_{\text{rf}} t)$ to

$$\begin{aligned}\delta_h(s) \cos(\omega_{\text{rf}} t) &= \frac{1}{4\pi R} \\ &\times \sum_{n=-\infty}^{\infty} \exp\left(-ih\frac{s}{R} + i\omega_{\text{rf}} t\right) + \exp\left(-ih\frac{s}{R} - i\omega_{\text{rf}} t\right)\end{aligned}$$



Averaging the Hamiltonian (1)

Averaging over many turns, only the terms for which $h = \frac{\omega_{\text{rf}}}{\omega_0}$ contribute³, hence

$$\begin{aligned}\delta_h(s) \cos(\omega_{\text{rf}}t) &\rightarrow \frac{1}{2\pi R} \cos\left(\omega_{\text{rf}}t - \frac{\omega_{\text{rf}}}{\omega_0} \frac{s}{R}\right) \\ &= \frac{1}{2\pi R} \cos\left(\frac{h\sigma}{R}\right)\end{aligned}$$

It follows that

$$\begin{aligned}\frac{q}{P_0} \left(A_s^{\text{rf}} - \bar{E}_s \right) &= \frac{qV_0}{2\pi RP_0\omega_{\text{rf}}} \left(\cos\left(\frac{h\sigma}{R}\right) - \cos(\varphi_0) \right) - \frac{qV_0\sigma}{2\pi R^2 P_0 \omega_0} \sin(\varphi_0) \\ &= \frac{qV_0}{2\pi RP_0\omega_0 h} (\cos(\varphi - \varphi_0) - \cos(\varphi_0) - (\varphi - \varphi_0) \sin(\varphi_0))\end{aligned}$$

with $\varphi = hs/R$.

³ $\omega_0 = \frac{\beta_0 c}{R}$ the revolution frequency



Averaging the Hamiltonian (2)

In addition the variables we encountered earlier become

$$\begin{aligned}\eta &= \frac{1}{C} \int \eta' ds = \frac{1}{C} \int \frac{D(s)}{R} ds - \frac{1}{\gamma_0^2} \\ &= \alpha - \frac{1}{\gamma_0^2} = \frac{1}{\gamma_T^2} - \frac{1}{\gamma_0^2}\end{aligned}\tag{17}$$

$$\begin{aligned}\nu &= \frac{1}{C} \int \nu' ds = \frac{1}{C} \int \left(\frac{D(s)}{R\gamma_0^2} - \frac{1}{2} D'(s)^2 - \frac{D_2(s)}{R} \right) ds - \frac{3}{2\gamma_0^2} \\ &= \beta - \frac{3}{2\gamma_0^2}\end{aligned}\tag{18}$$

η and ν the first and the second order slippage factors.

α and β the first and the second order momentum compaction factors.



The final decoupled synchro-betatron Hamiltonian

Synchro-betatron Hamiltonian

$$\begin{aligned} H(\hat{x}, \hat{p}_x, \hat{y}, \hat{p}_y, \sigma, \delta; s) = & \\ & -\frac{1}{2}\eta\delta^2 + \frac{1}{3}\nu\delta^3 \\ & -\frac{qV_0}{2\pi RP_0\omega_{\text{rf}}} \left(\cos\left(\frac{h\sigma}{R}\right) - \cos(\varphi_0) \right) - \frac{qV_0\sigma}{2\pi R^2 P_0 \omega_0} \sin(\varphi_0) \\ & + \frac{1}{2}\hat{p}_x^2 + \frac{1}{2}\hat{p}_y^2 + \frac{1}{2}K^2 x^2 + \frac{1}{2}g (\hat{x}^2 - \hat{y}^2) \\ & + \frac{1}{6} (Kg + f) (\hat{x}^3 - 3\hat{x}\hat{y}^2) \end{aligned} \tag{19}$$



The final decoupled synchro-betatron Hamiltonian

Synchro-betatron Hamiltonian

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Longitudinal Hamiltonian

We relabel

$$q \rightarrow -e, \quad V_0 \rightarrow V_m, \quad P_0 \rightarrow p_0, \quad \sigma \rightarrow \zeta.$$

Should look familiar...

Longitudinal Hamiltonian

$$H(s) = -\frac{1}{2}\eta\delta^2 - \frac{qV_0}{2\pi RP_0\omega_{\text{rf}}} \left(\cos\left(\frac{h\sigma}{R}\right) - \cos(\varphi_0) \right) - \frac{qV_0\sigma}{2\pi R^2 P_0 \omega_0} \sin(\varphi_0)$$

$$H(t) = -\frac{1}{2}\beta c\eta\delta^2 + \frac{eV_m}{2\pi h p_0} \left(\cos\left(\frac{h\zeta}{R}\right) - \cos(\Phi_s) \right) + \frac{eV_m \sin(\Phi_s)}{p_0 C} \zeta$$

$$h = \frac{\omega_{\text{rf}}}{\omega_0}, \quad \omega_0 = \frac{\beta c}{R}$$



Longitudinal Hamiltonian



Equations of longitudinal dynamics



Some times the bunch is made to sit in an **accelerating bucket** only to compensate for external losses

- in a lepton storage ring, to compensate for synchrotron radiation losses
- in general, a bunch in a stationary bucket can move to a synchronous phase different from 0 or π in order to compensate for impedance losses (see further)

$$\begin{cases} \frac{d\zeta}{dt} = -\eta\beta c\delta \\ \frac{d\delta}{dt} = \frac{eV_m}{p_0 C} \left[\sin\left(\frac{h\zeta}{R} + \Phi_s\right) - \sin\Phi_s \right] \end{cases}$$

$$H = -\frac{1}{2}\beta c\eta\delta^2 + \frac{eV_m}{2\pi h p_0} \cos\left(\frac{h\zeta}{R}\right) + \frac{eV_m \sin\Phi_s}{p_0 C} \zeta$$

Longitudinal Hamiltonian

We relabel

$$q \rightarrow -e, \quad V_0 \rightarrow V_m, \quad P_0 \rightarrow p_0, \quad \sigma \rightarrow \zeta.$$

Should look familiar... we have recovered Giovanni's formula!

Longitudinal Hamiltonian

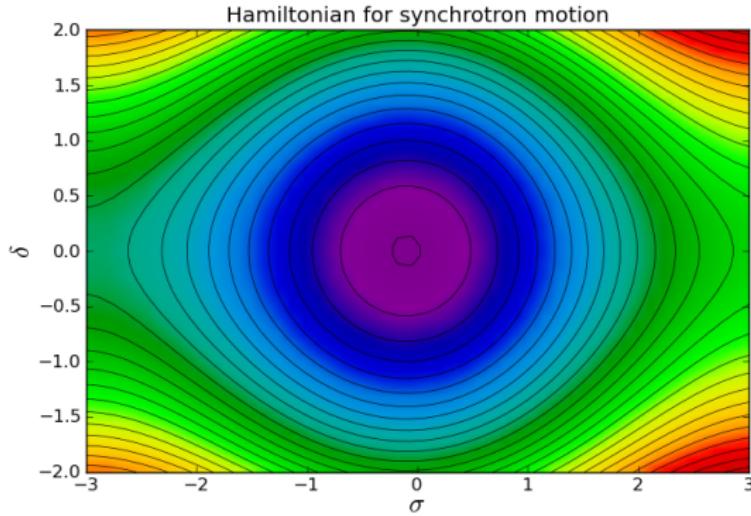
$$H(s) = -\frac{1}{2}\eta\delta^2 - \frac{qV_0}{2\pi RP_0\omega_{\text{rf}}} \left(\cos\left(\frac{h\sigma}{R}\right) - \cos(\varphi_0) \right) - \frac{qV_0\sigma}{2\pi R^2 P_0 \omega_0} \sin(\varphi_0)$$

$$H(t) = -\frac{1}{2}\beta c\eta\delta^2 + \frac{eV_m}{2\pi h p_0} \left(\cos\left(\frac{h\zeta}{R}\right) - \cos(\Phi_s) \right) + \frac{eV_m \sin(\Phi_s)}{p_0 C} \zeta$$

$$h = \frac{\omega_{\text{rf}}}{\omega_0}, \quad \omega_0 = \frac{\beta c}{R}$$



Longitudinal action



Synchrotron tune

$$Q_s = \sqrt{\frac{qV_0\eta h}{2\pi E_0\beta_0^2} \cos(\varphi_0)}$$



Conclusions

Summary

A hopefully comprehensive overview of why and how to derive a general practical Hamiltonian for circular accelerators starting from the most basic first principles



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Driven by Dispersion in RF Cavities,
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